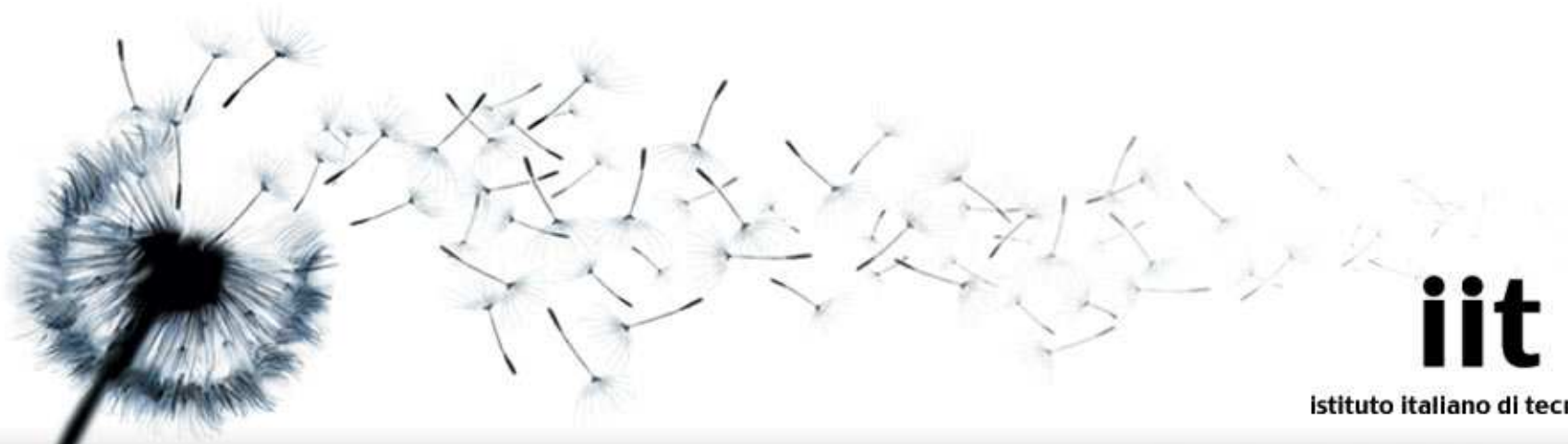


# *Clean-Room microfabrication techniques*



*Francesco Rizzi*  
*Italian Institute of Technology*



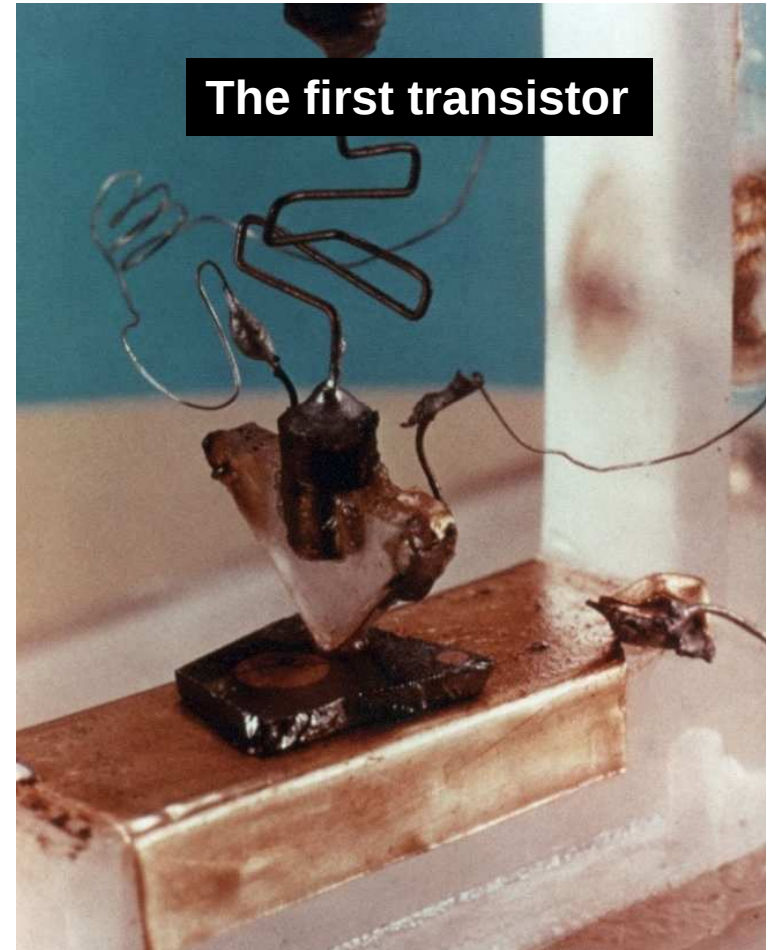
**iit**

Istituto italiano di tecnologia

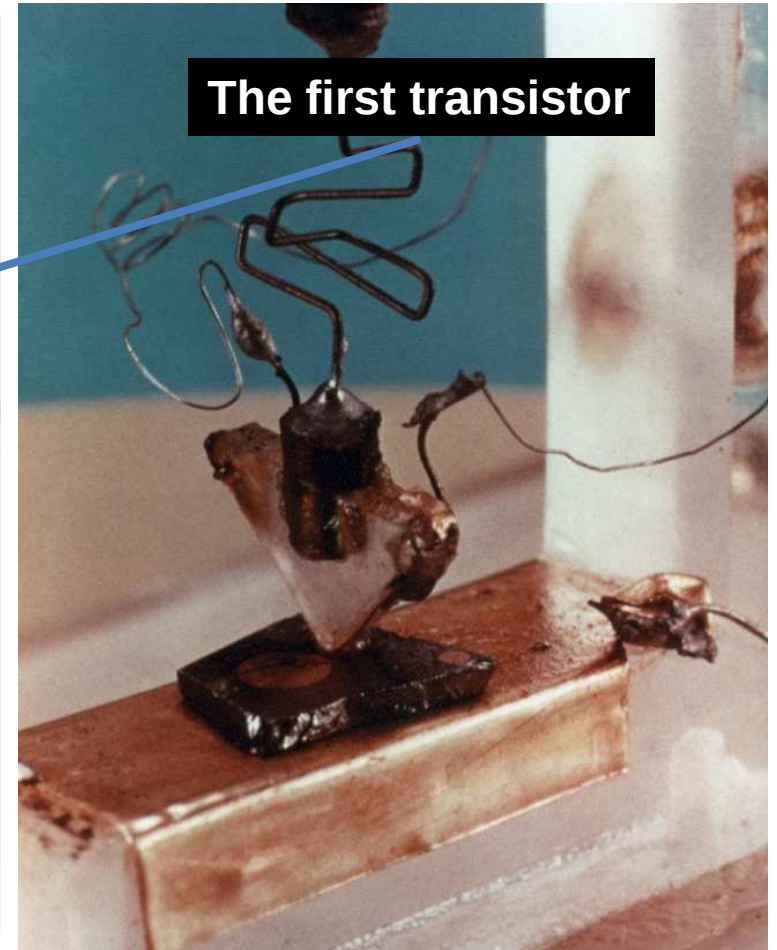
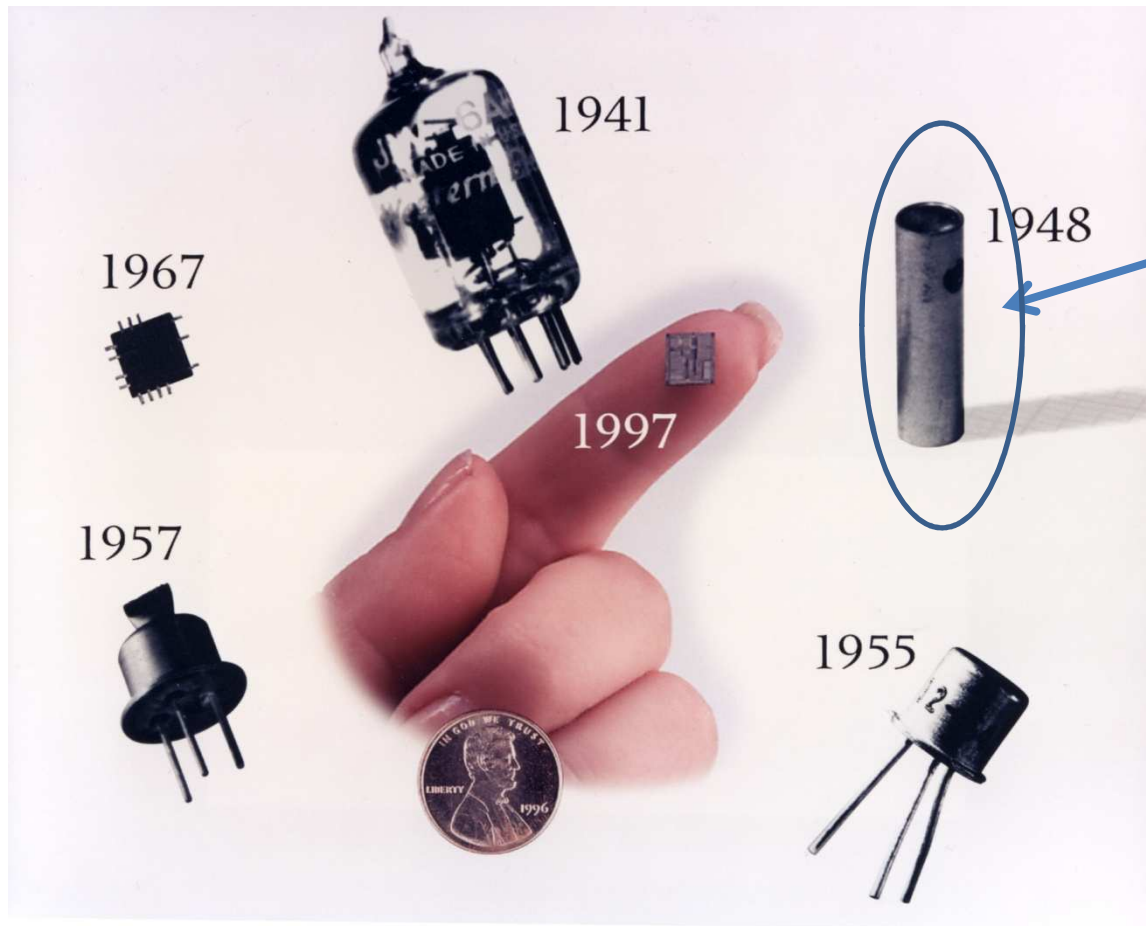
# *Miniaturization*



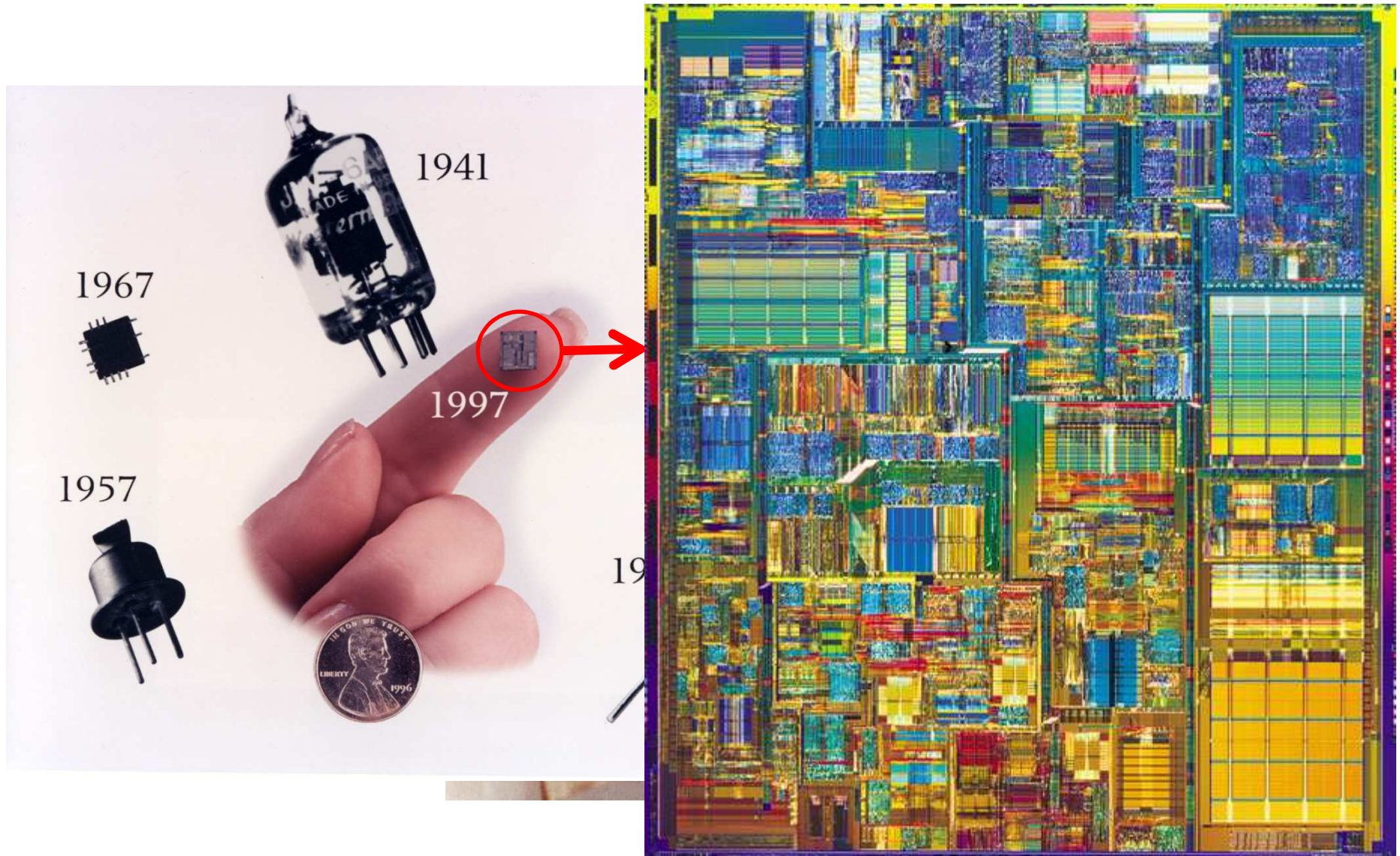
# *Miniaturization*



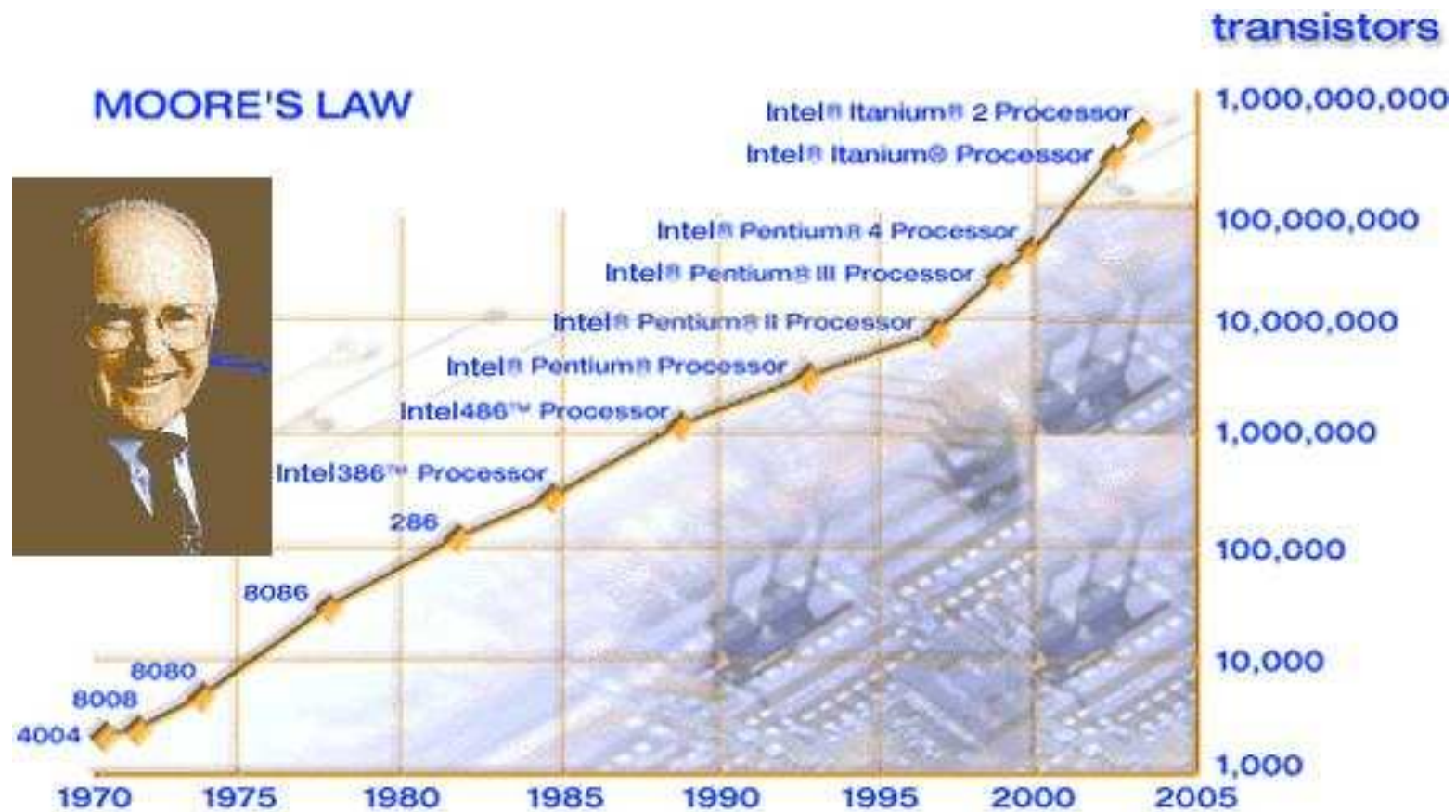
# *Miniaturization*



# *Miniaturization*



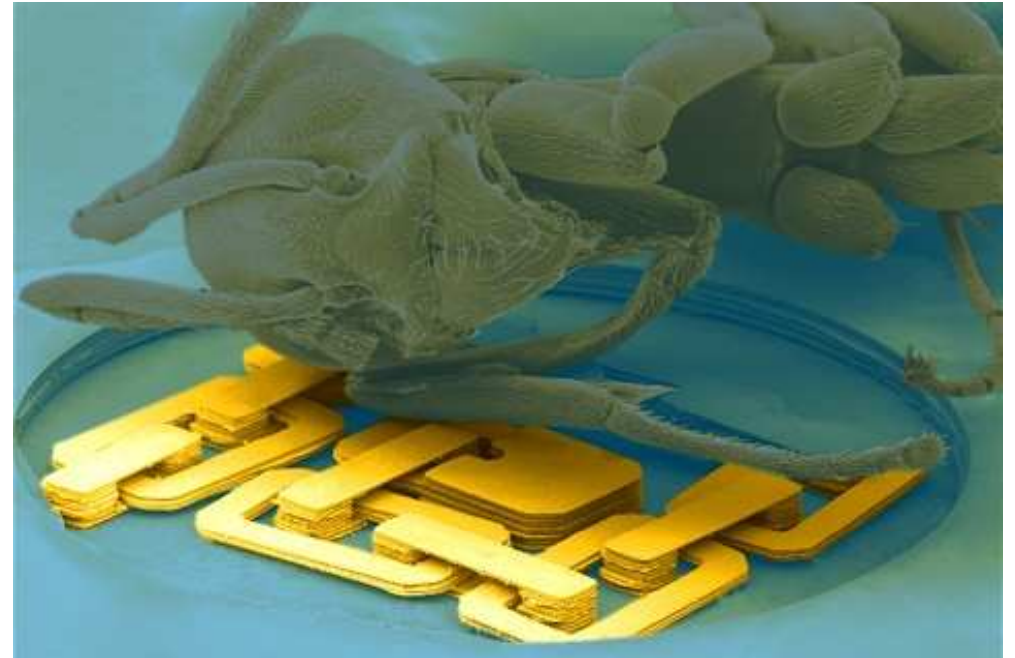
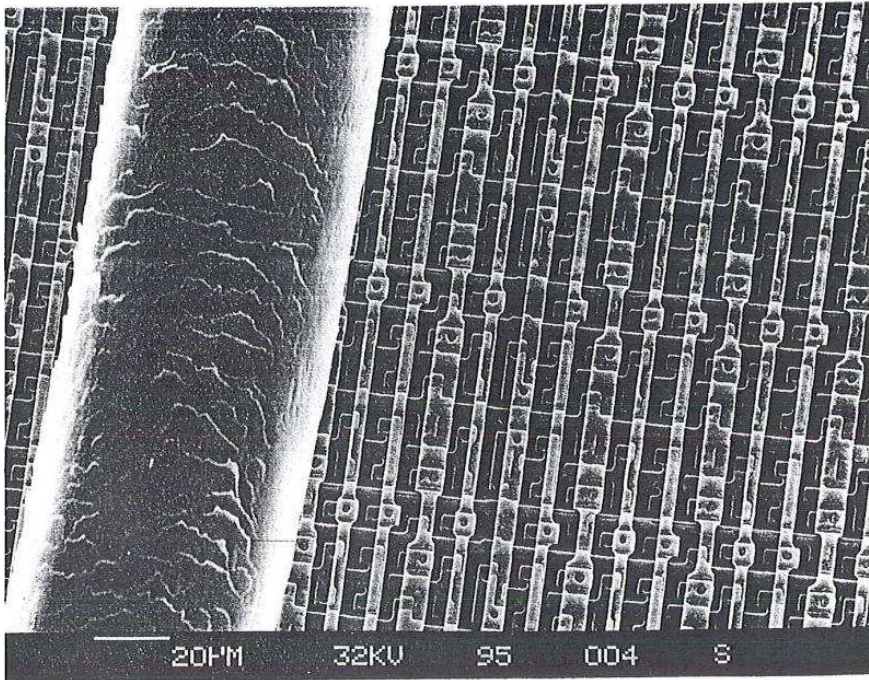
# *The Moore Law*



## **Moore's Law :**

the number of transistors that can be placed on an integrated circuit is increasing exponentially, doubling approximately every two years.

# *Microtechnology dimensions*

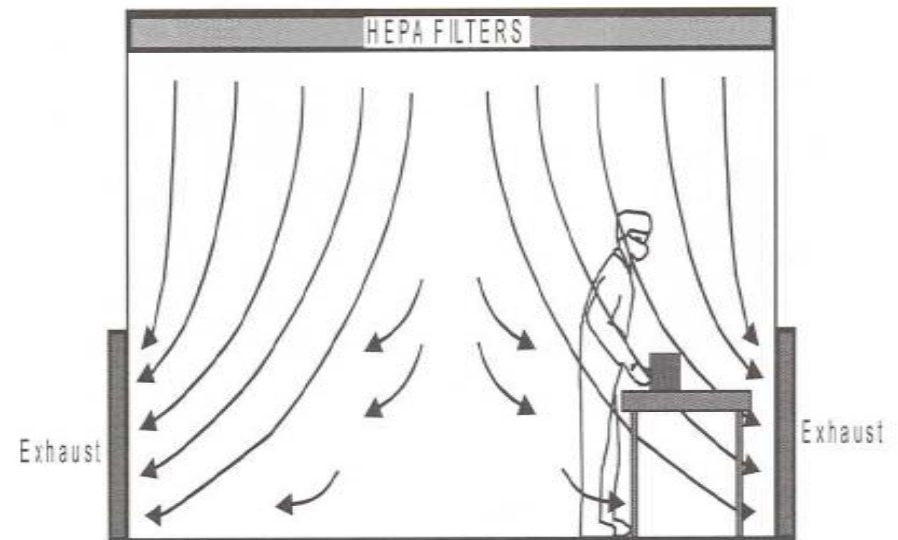
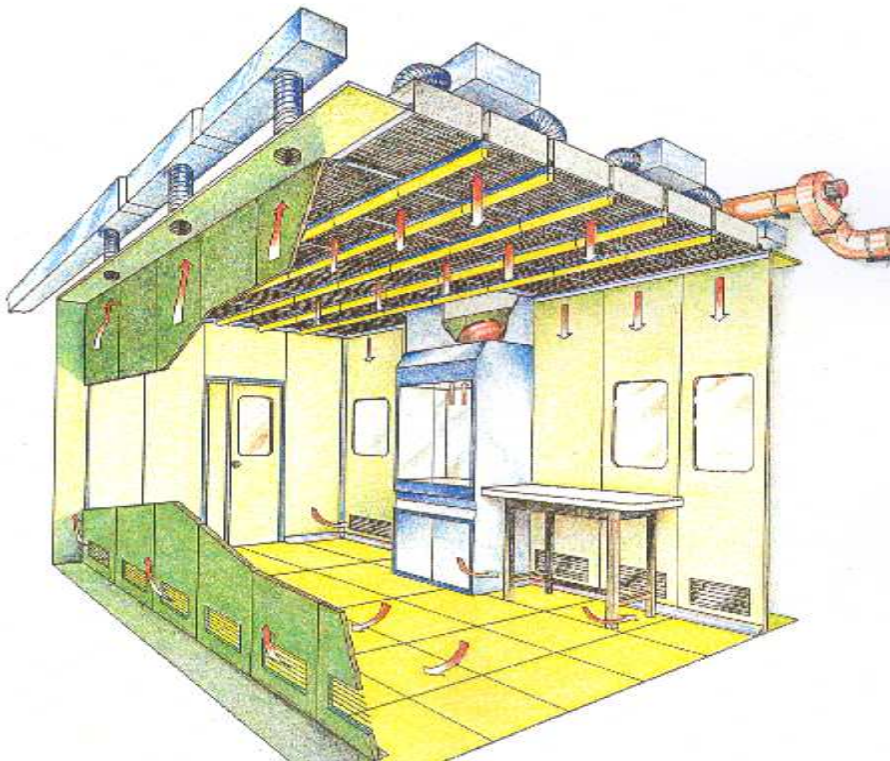


**Needs for micro- structures (100 nm - 100 μm) realization :**

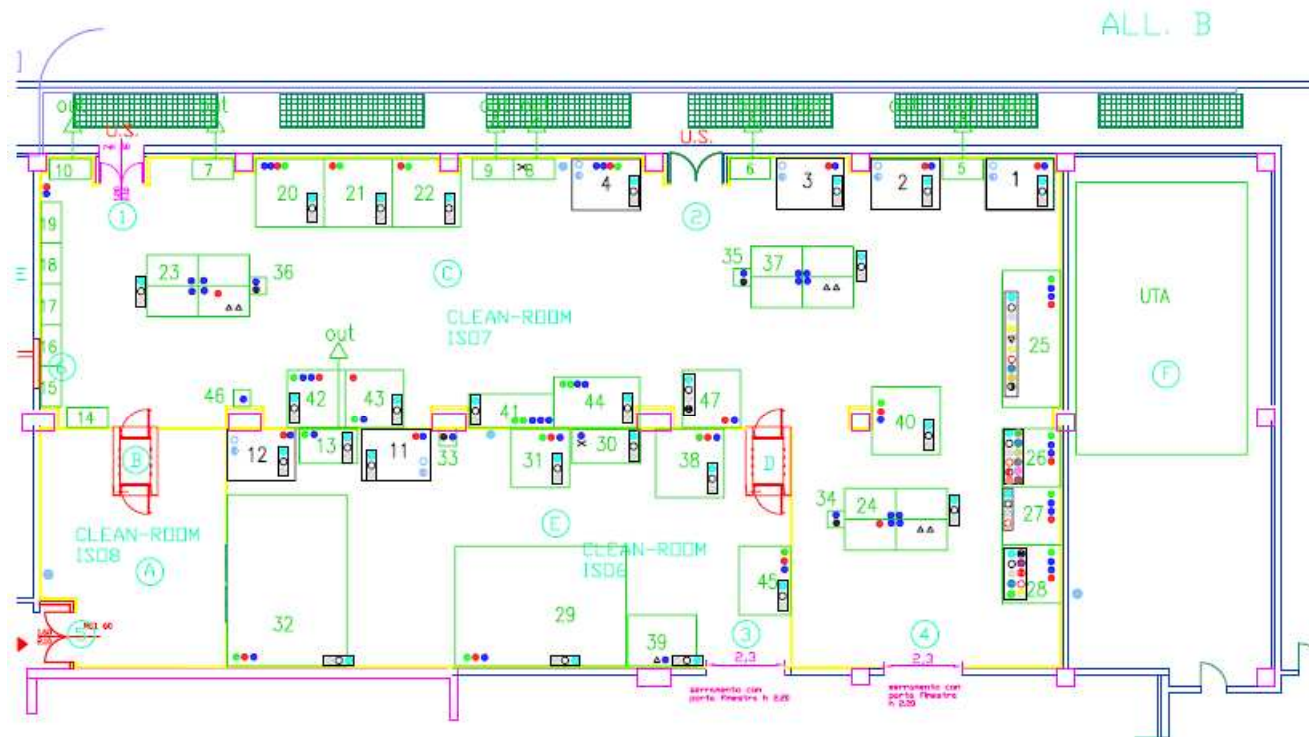
1. **Dimensions lower than** airbor particles in atmosphere.
2. **Thermal expansion and humidity** in atmosphere inside laoratory have a "dramatic impact" on microstructures.

# *Clean Room:*

Laboratory where air fluxes, conditioning and filtering, building materials and operational protocols, are ruled such as “**environment cleanliness**” and “**thermo-hygrometric parameters**” are under control.



# IIT Clean Room



- 1 - 4) Cappa Chimica
- 5 - 10) Armadi Aspirati
- 11 - 12) Cappe Litografia
- 13) Spinner alta precisione
- 14 - 19) Armadi Consumables - Vetreria
- 20) e-beam evaporator 1
- 21 - 22) Predisposizioni e-beam evaporator
- 23 - 24) Banchi da lavoro
- 25) ICP
- 26) RIE
- 27) Plasma oxygen
- 28) PECVD
- 29) EBL
- 30) Mask Aligner
- 31) Injection molding
- 32) Laser Writer
- 33 - 36) Stufe
- 37) Banchi da lavoro
- 38) Imprinting
- 39) Polymer Ink Jet
- 40) Bonder
- 41) Profilometro + 2 microscopi
- 42 - 43) Evaporatori termico
- 44) Glove Box
- 45) Rapid Prototyping
- 46) Frigo
- 47) Vapour Primer Oven

## Technical Characteristics

Controlled Temperature :  $20 \pm 1^\circ\text{C}$

Humidity:  $50 \pm 5\%$

Air changes: 10 / hr

120 m<sup>2</sup> ISO 6

300 m<sup>2</sup> ISO 7

30 m<sup>2</sup> ISO 8 (pre-chamber)

## Services:

Deionized Water

Compressed Air

Nitrogen

Vacuum

Chemical benches

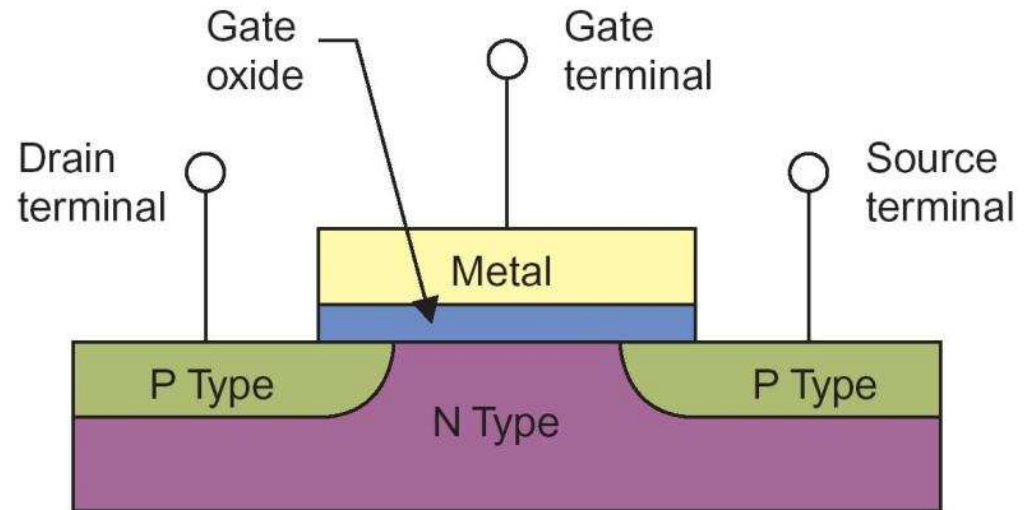
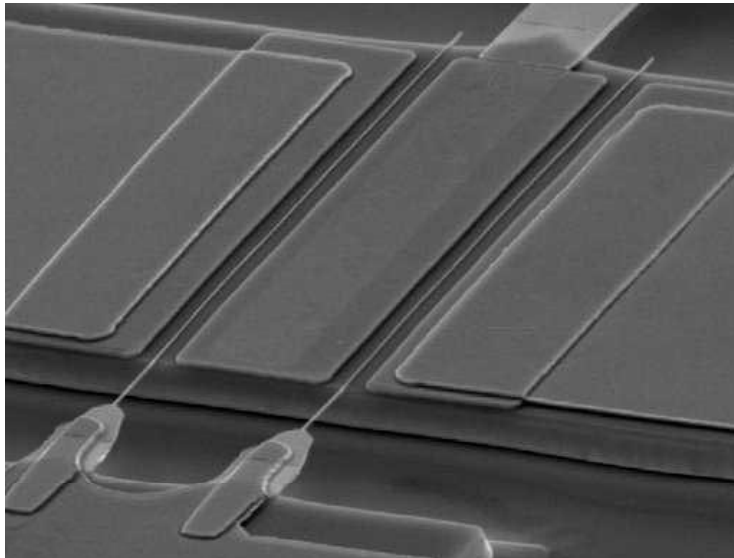
## Ultra-pure technical gases:

$\text{Cl}_2$ ,  $\text{BCl}_3$ ,  $\text{SiCl}_4$ ,  $\text{HBr}$ ,  $\text{SiH}_2\text{Cl}_2$ ,

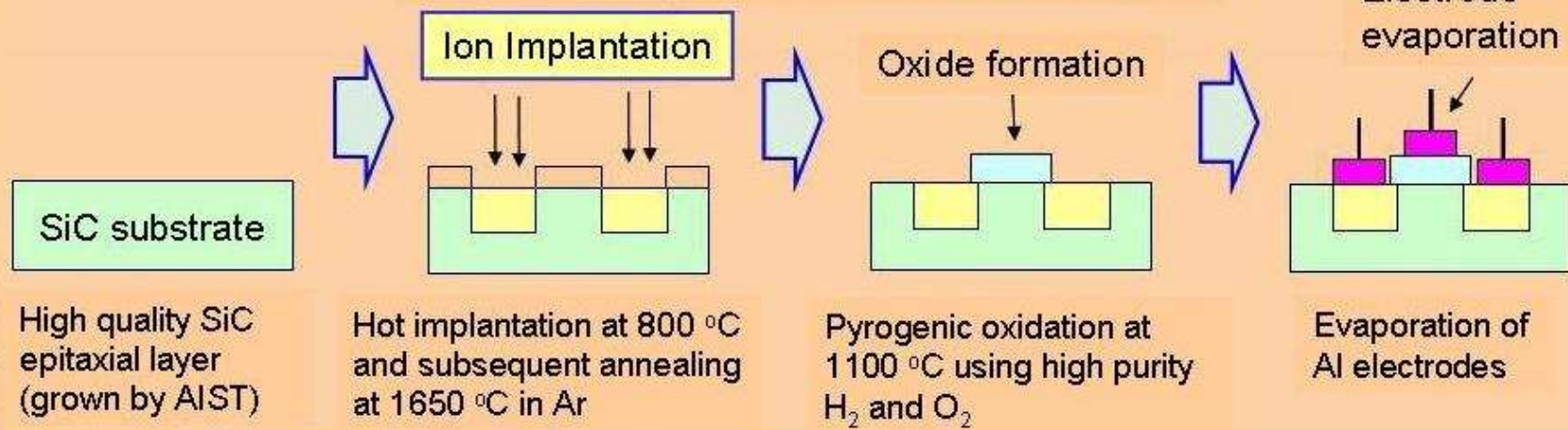
$\text{He}$ ,  $\text{SF}_6$ ,  $\text{SiH}_4$ ,  $\text{NH}_3$ ,  $\text{N}_2\text{O}$ ,  $\text{CHF}_3$ ,  $\text{CF}_4$ ,

$\text{Ar}$ ,  $\text{O}_2$ ,  $\text{H}_2$ ,  $\text{CH}_4$ , miscela  $\text{CH}_4/\text{H}_2/\text{Ar}$ ,  $\text{C}_4\text{F}_8$

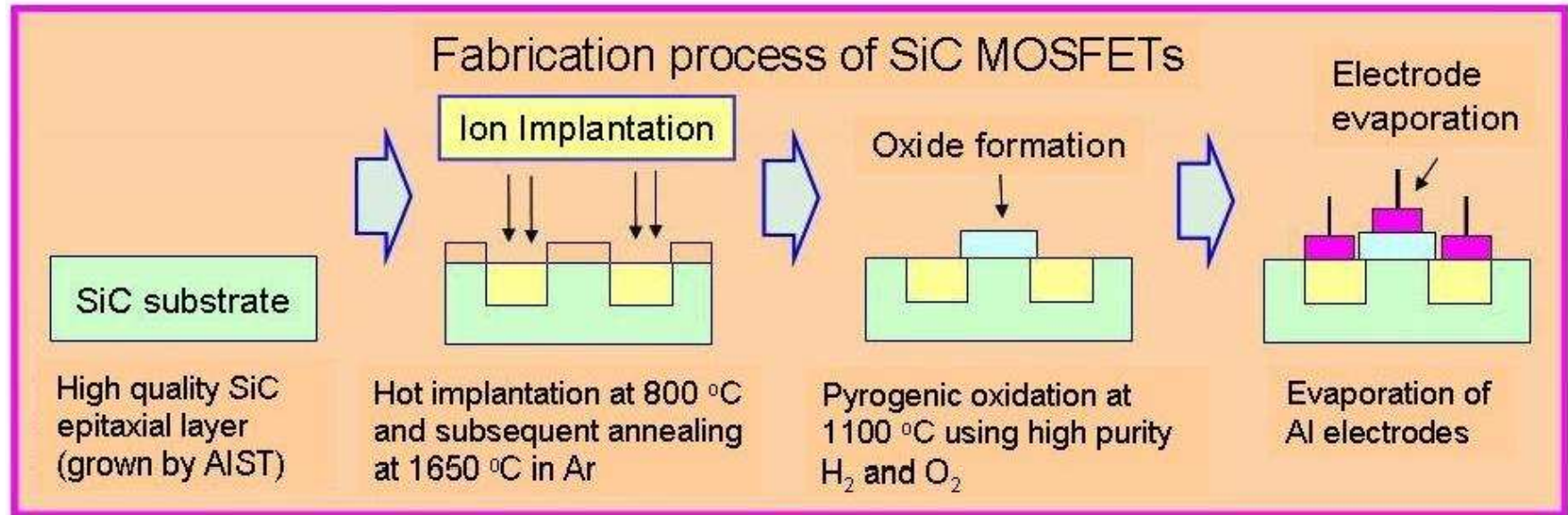
# *MOSFET realization*



## Fabrication process of SiC MOSFETs



# *The planar processing*



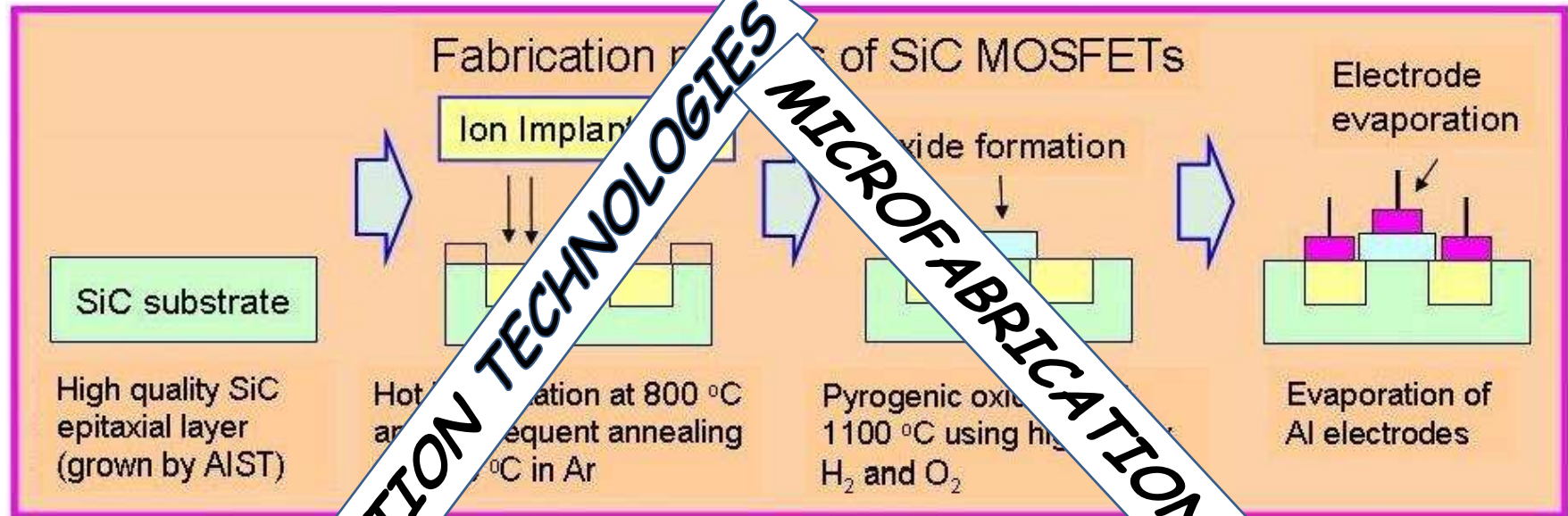
1) Material growth

2) Ion implantation

3) Thin Films deposition

4) Pattern transfer

## *The planar processing*



1) Material

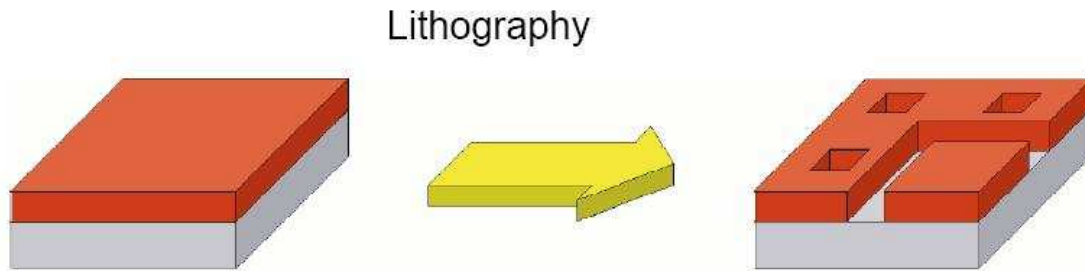
2) Ion implantation

3) Thin Films deposition

4) Pattern transfer

# *The Microfabrication Technologies*

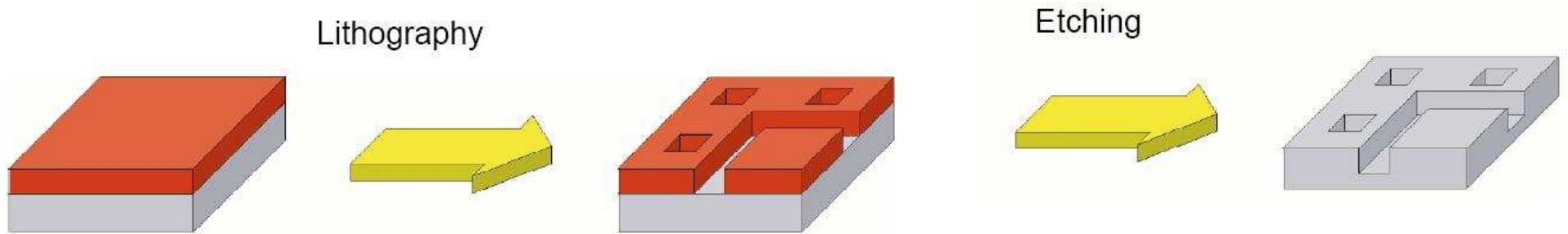
- Optical Lithography



# *The Microfabrication Technologies*

- Optical Lithography

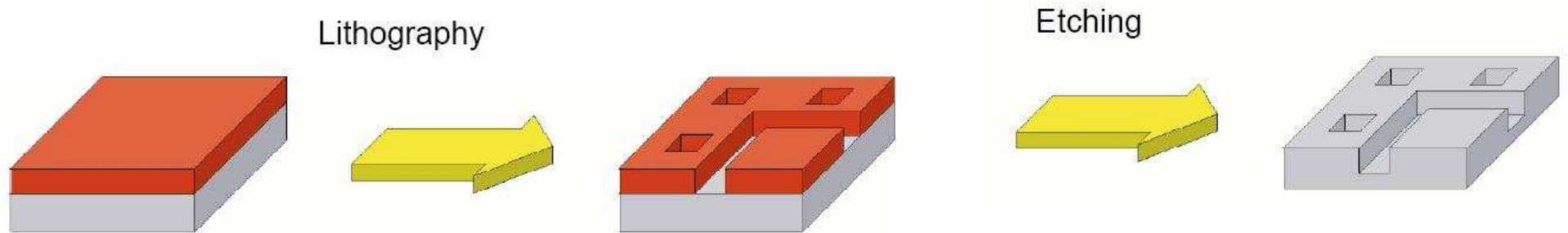
- Etching of materials



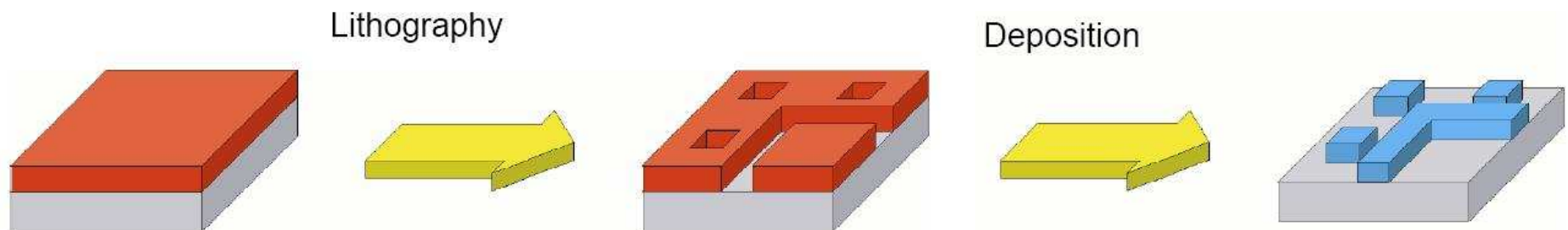
# *The Microfabrication Technologies*

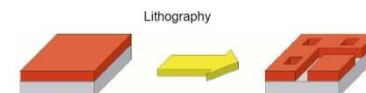
- Optical Lithography

- Etching of materials



- Thin Films deposition methods





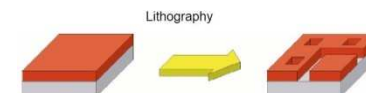
# *Optical Lithography*

*Reproduction of a pattern:*



*Expose a resist to open windows in a controlled way*

*The origin of the lithographic process is linked to the original process invented by Nicéphore Niepce in 1826 for the photography*



# Optical Lithography

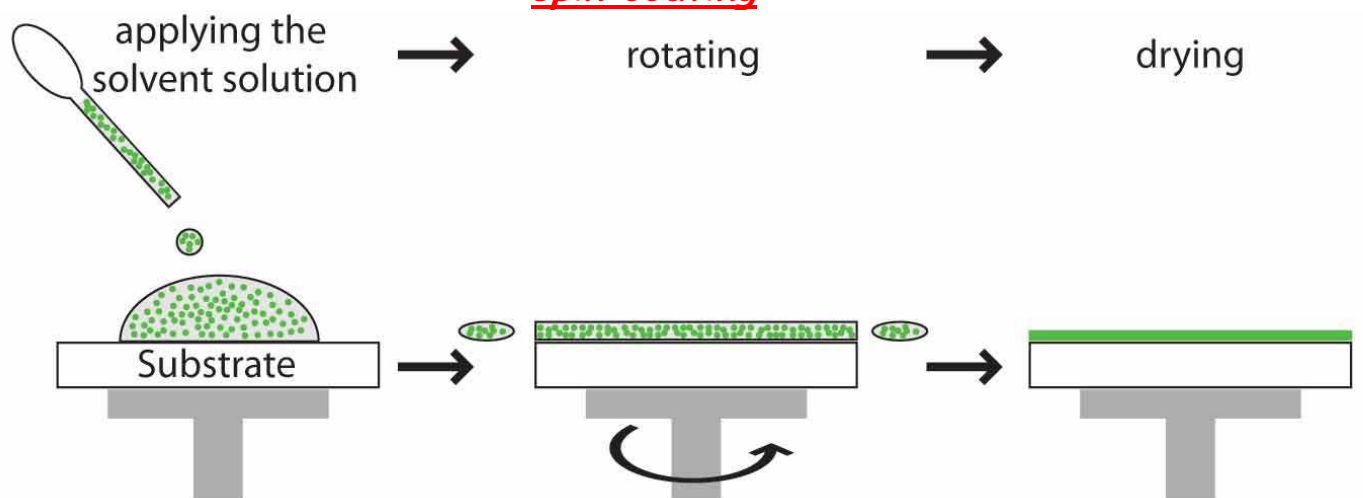
*Reproduction of a pattern:*

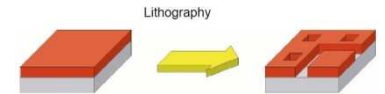


*Expose a resist to open windows in a controlled way*

*The origin of the lithographic process is linked to the original process invented by Nicéphore Niepce in 1826 for the photography*

**Photoresist** : photon sensitive polymer made of long or short chains; applied by spin coating





# Optical Lithography

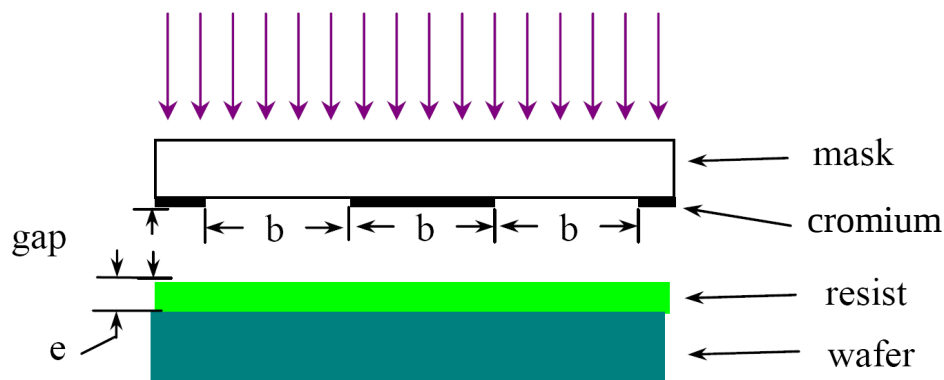
*Reproduction of a pattern:*

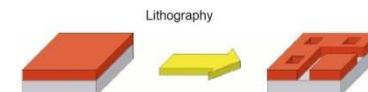


*Expose a resist to open windows in a controlled way*

*The origin of the lithographic process is linked to the original process invented by Nicéphore Niepce in 1826 for the photography*

**Photoresist** : photon sensitive polymer made of long or short chains





# Optical Lithography

*Reproduction of a pattern:*

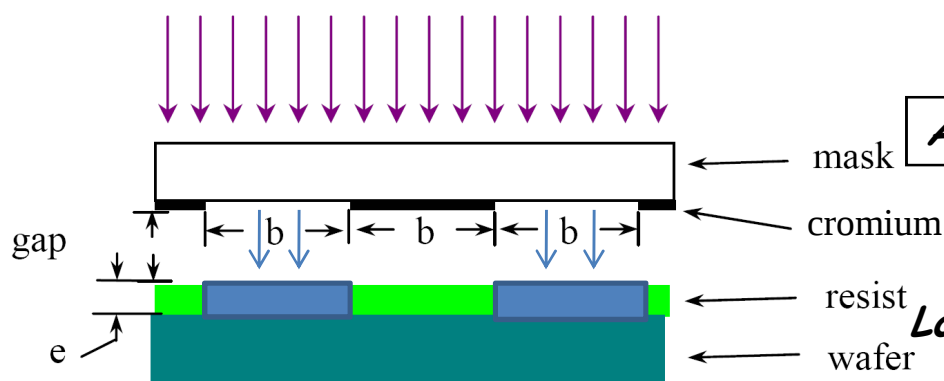


*Expose a resist to open windows in a controlled way*

*The origin of the lithographic process is linked to the original process invented by Nicéphore Niepce in 1826 for the photography*

**Photoresist** : photon sensitive polymer made of long or short chains

*After UV light interact with polymer chain:*



**Positive photoresist:**

*Long chain molecules are broken in short chain*

**Negative photoresist:**

*Short chain molecules are joined in long chain*

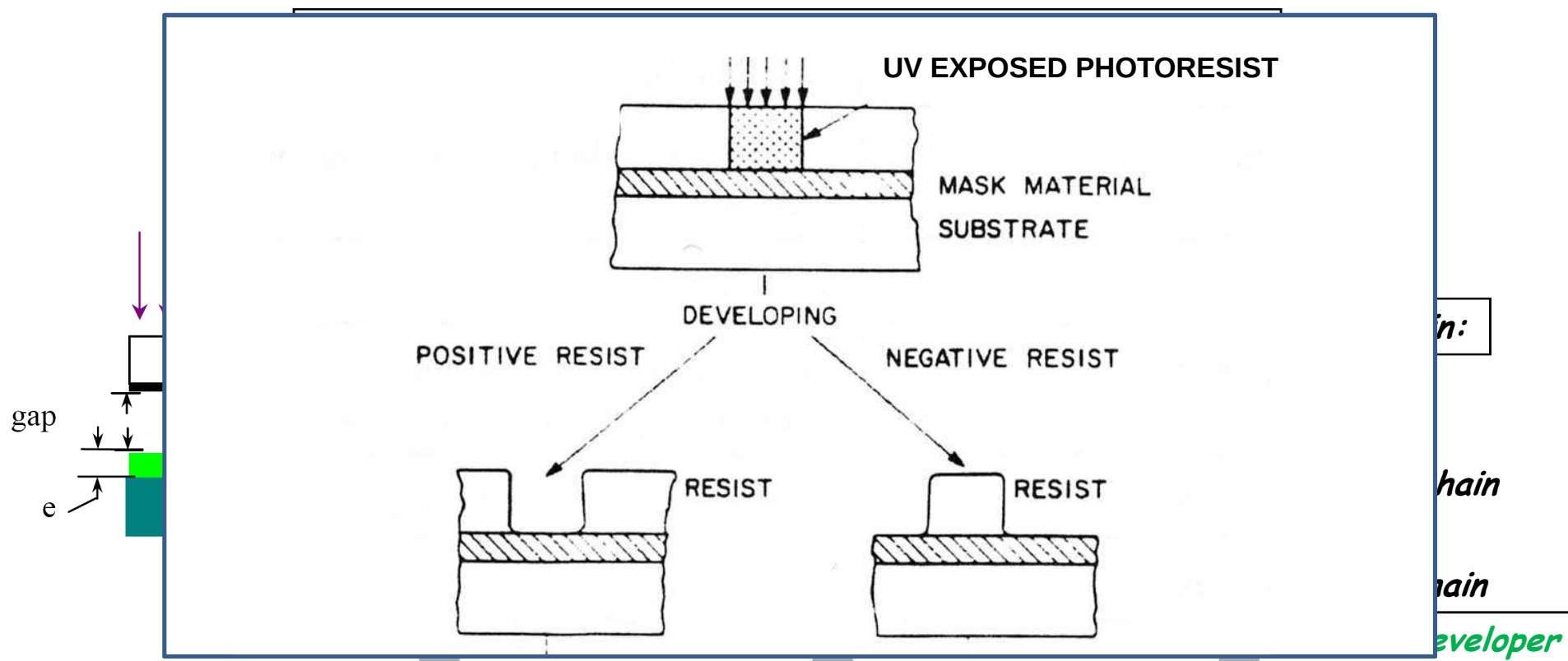
**PAY ATTENTION:** Long chain are insoluble in developer

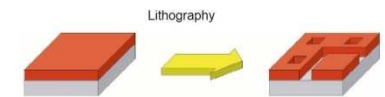
# Optical Lithography

*Reproduction of a pattern:*

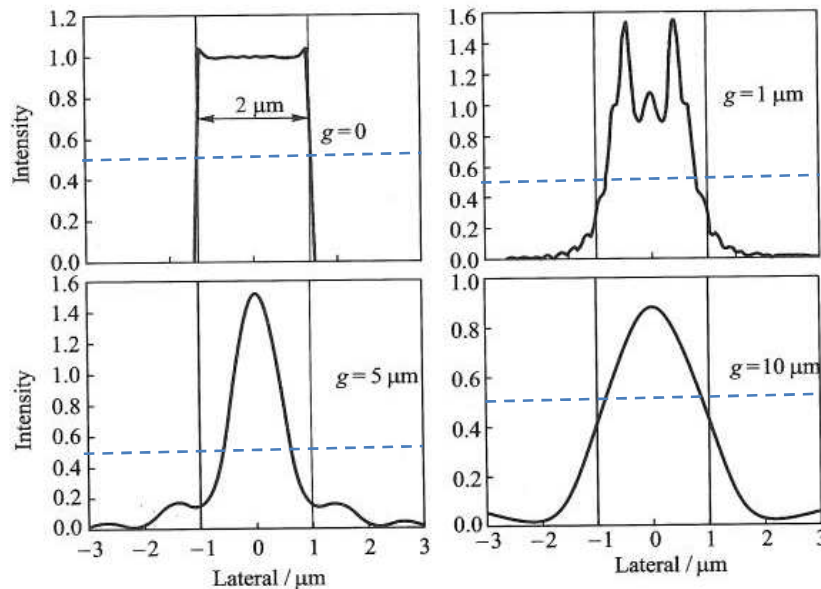
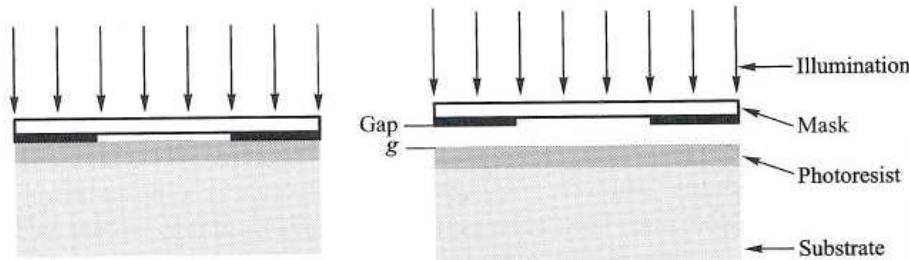


*Expose a resist to open windows in a controlled way*





# Lithography: Critical Dimensions



Intensity  $\approx 0.5$ :  
minimum dose for development

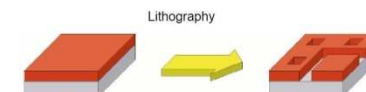
- resolution limited by diffraction:

$$t = \sqrt{\lambda g}$$

- gap minimum = resist thickness

Minimize  $t$  = difference between  
real transfer image and  
ideal transfer image

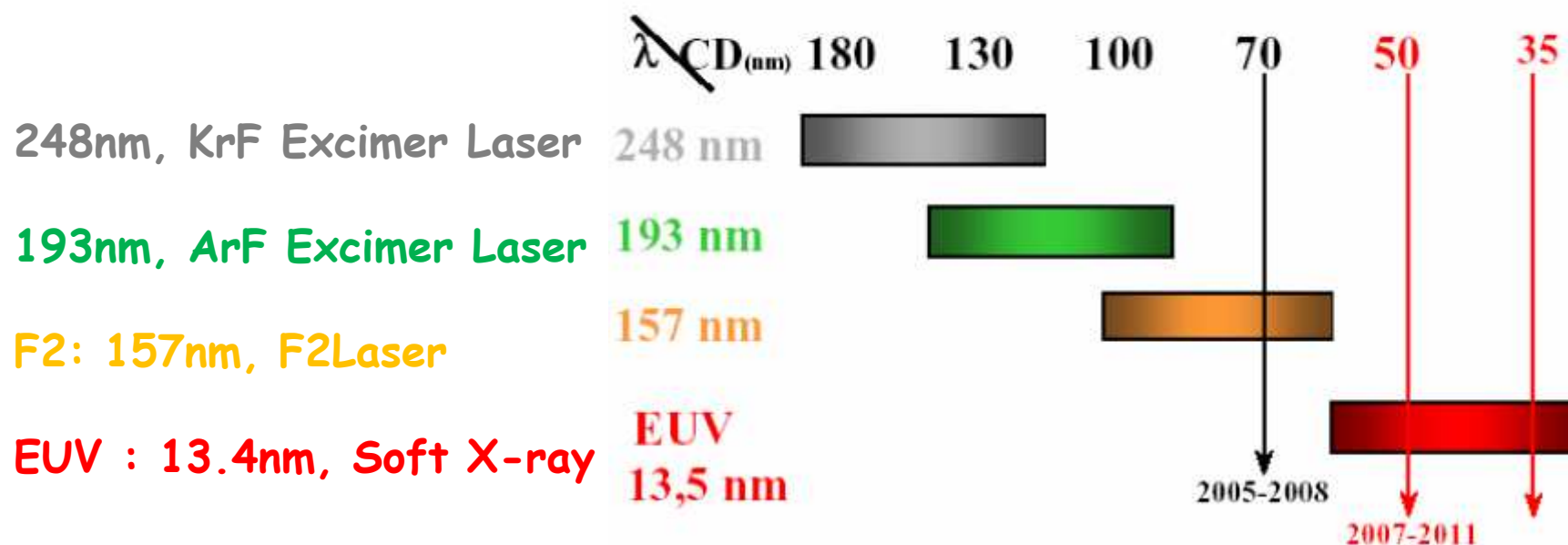
Find a tradeoff  
between the gap  $g$  and  
the diffraction peak  
to repeat inside the photoresist  
the mask pattern

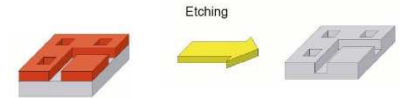


# *Lithography: Critical Dimensions*

436nm, Hg-Xe Lamp + Optical Filter: CD  $\approx$  650 nm

365nm, Hg-Xe Lamp + Optical Filter : CD  $\approx$  350 nm





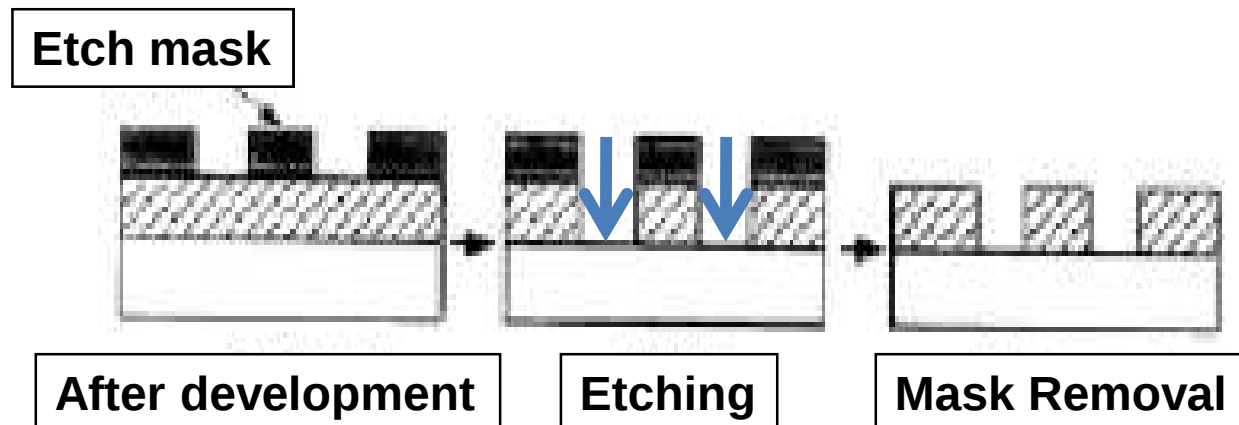
# Etching

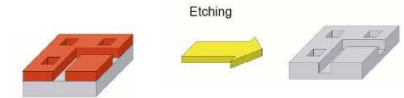
*Selective removal of thin film(s) resulting in a desired thin film(s) pattern*



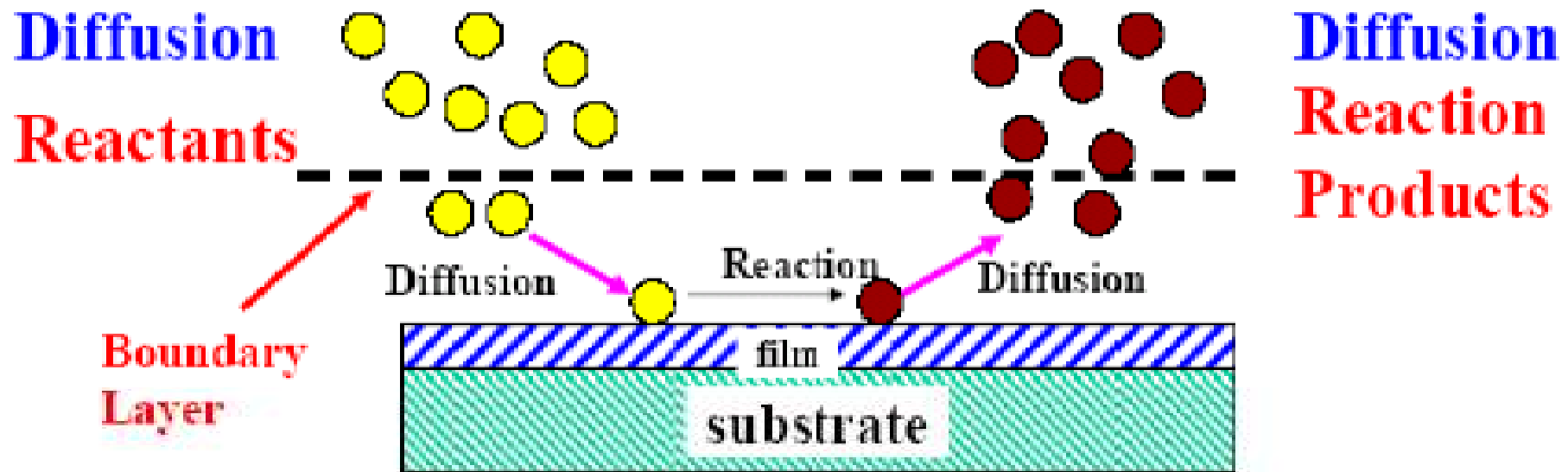
## *Etch mask*

*photoresist or oxide/nitride material patterned by optical lithography and resistant to the etchant agent*



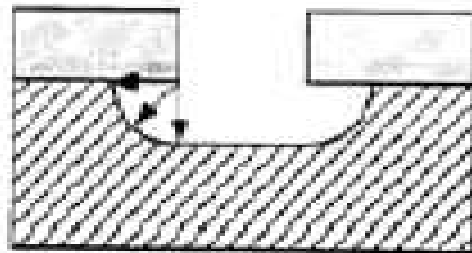
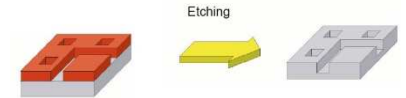


## *Basic Concepts*

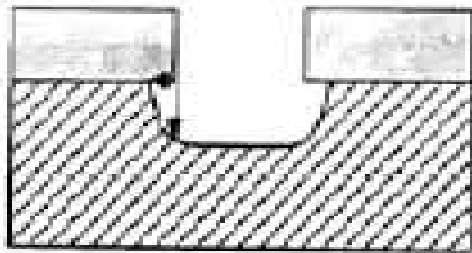


- Etching process consists of three steps
  - Mass transport of reactants (through a boundary layer) to the surface to be etched
  - Reaction between reactants and the film(s) to be etched at the surface
  - Mass transport of reaction products from the surface through the boundary layer
- Etching is usually done using liquid phase or gas phase reactants
  - **liquid phase (wet) etching** — reaction products soluble in solvent or gaseous
  - **gas phase etching** — reaction products gaseous / sublimation temperature

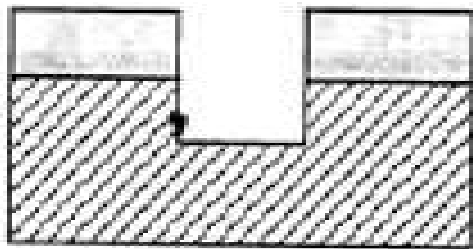
# Etching figure of merit



a. isotropic



b. anisotropic



c. completely anisotropic

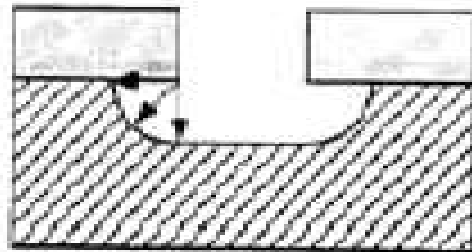
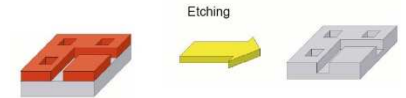
**Etch rate:** rate of film removal, typically 100 nm/min

|                     |                                                                                                                                                                  |
|---------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Etch Directionality | Measure of relative etch rates in different directions usually vertical vs. lateral                                                                              |
| Isotropic Etching   | Etch rates are same in all directions. It is usually related to chemical processes                                                                               |
| Anisotropic Etching | Highly directional etching with different etch rates in different directions. It is usually related to physical processes such as ion bombardment and sputtering |

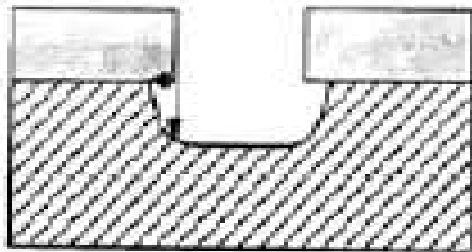
**Anisotropy:**

Anisotropic etching is the preferred process

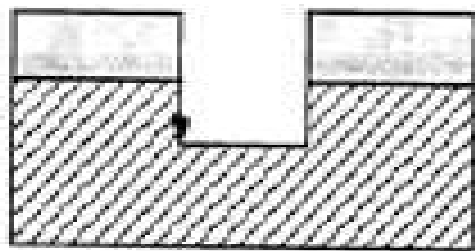
# Etching figure of merit



a. isotropic



b. anisotropic

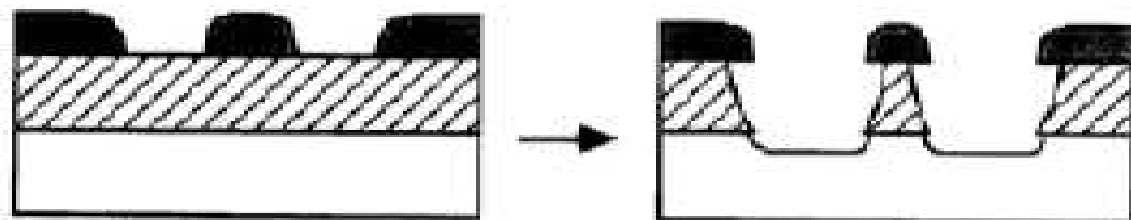


c. completely anisotropic

**Etch rate:** rate of film removal, typically 100 nm/min

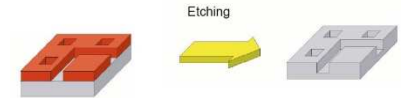
| Etch Directionality | Measure of relative etch rates in different directions usually vertical vs. lateral                                                                              |
|---------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Isotropic Etching   | Etch rates are same in all directions. It is usually related to chemical processes                                                                               |
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More directional etching



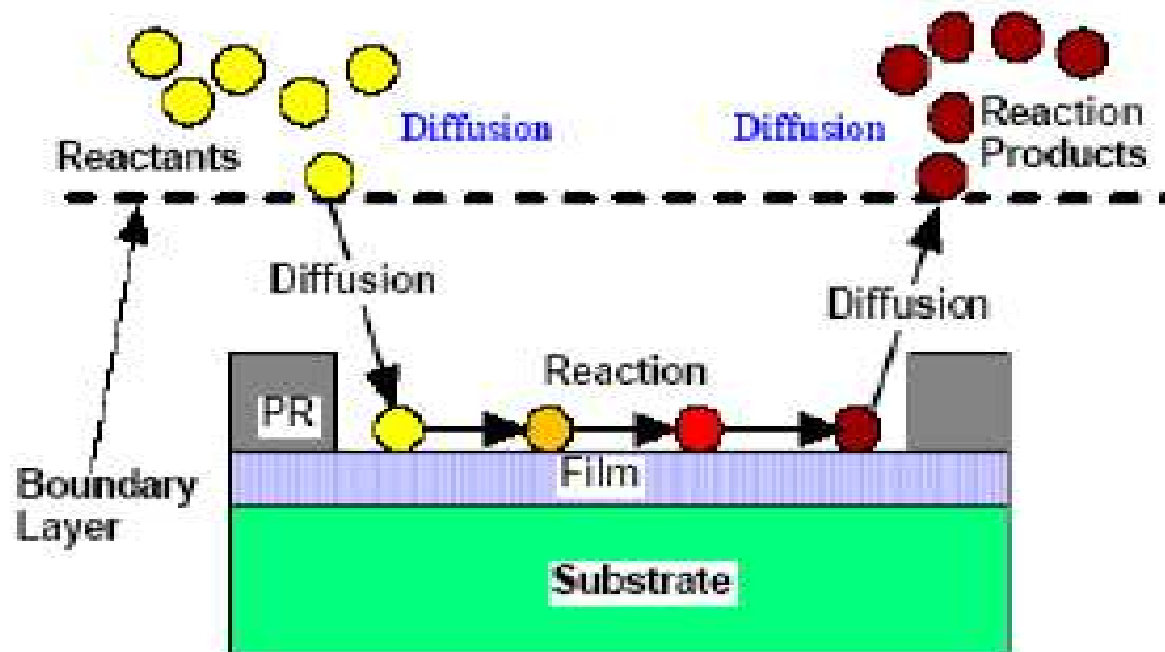
**Selectivity:** Etchant could attack substrate, not only film

# Wet Etching



How?

Simply place the wafer in solution that attacks the film to be etched but not the mask (resist).

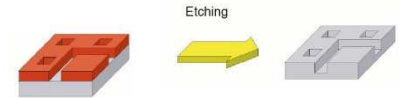


- Diffusion reactive species from the liquid bulk through the boundary layer to the surface of wafer
- Reaction of species at the surface to form solvable species
- Diffuse reaction products away from the surface through the boundary layer into the bulk of the liquid

**Advantages** High selectivity because it is based on chemical processes

**Disadvantages** Isotropic, poor process control and particulates

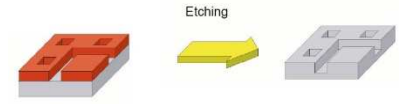
# Etching Chemistry



- Key ingredients in any wet etchant:
  - Oxidizer
    - examples:  $\text{H}_2\text{O}_2$ ,  $\text{HNO}_3$
  - Acid or base to dissolve oxidized surface
    - examples:  $\text{H}_2\text{SO}_4$ ,  $\text{NH}_4\text{OH}$
  - Diluent media to transport reactants and products through
    - examples:  $\text{H}_2\text{O}$ ,  $\text{CH}_3\text{COOH}$



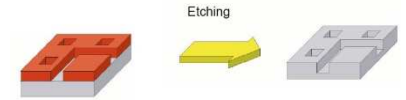
# *Drawback of Wet Etching*



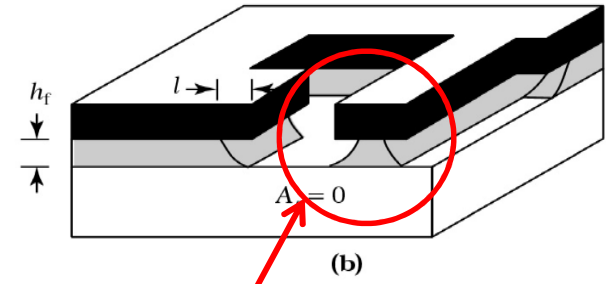
- Lack of anisotropy
- Poor process control
- Excessive particulate contamination

=> Wet etching used for **noncritical** feature sizes

# Dry Etching Overview

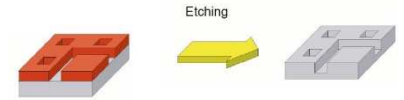


- Feature-sizes smaller-than  $1\text{-}\mu\text{m}$  cannot be well defined with isotropic wet-etching processes.
- Anisotropic-etching is necessary to form submicron-sizes.

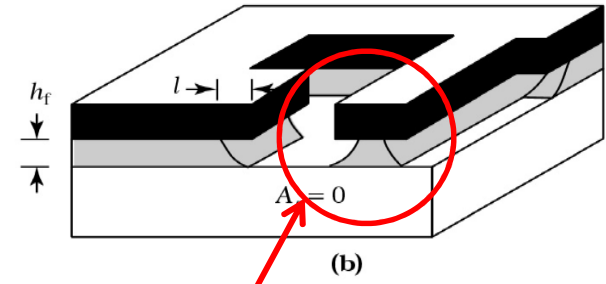


Critical dimension feature disappear

# Dry Etching Overview



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- Anisotropic-etching is necessary to form submicron-sizes.

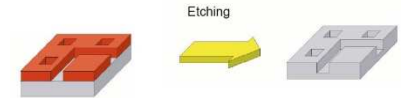


Critical dimension feature disappear

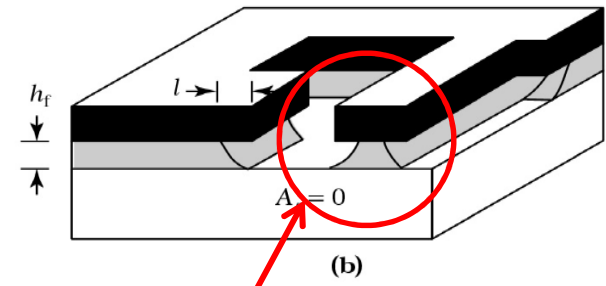
## Dry Etching

Material removal reactions occur by a gas phase etchant.

# Dry Etching Overview



- Feature-sizes smaller-than  $1\text{-}\mu\text{m}$  cannot be well defined with isotropic wet-etching processes.
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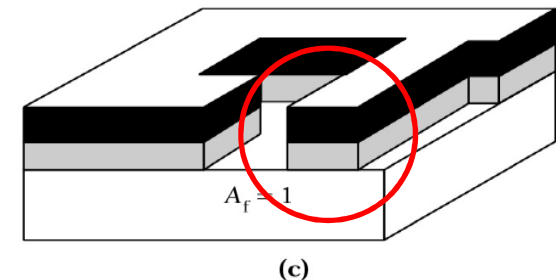


Critical dimension feature disappear

## Dry Etching

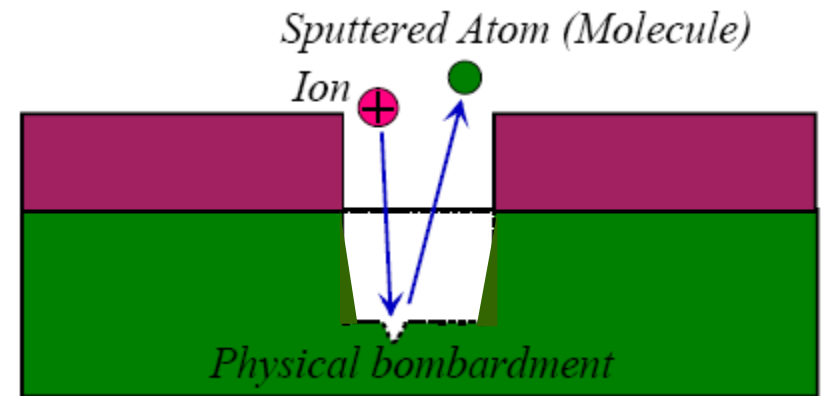
Material removal reactions occur by a gas phase etchant.

- Dry-etching can be anisotropic.
- It also eliminates handling, consumption, & disposal of large quantities of dangerous acids & solvents.



- **Physical Etching**

- The gaseous ion, accelerated to the substrate, mechanically ejects substrate material
- **Highly Anisotropic**
- **Non-Selective**

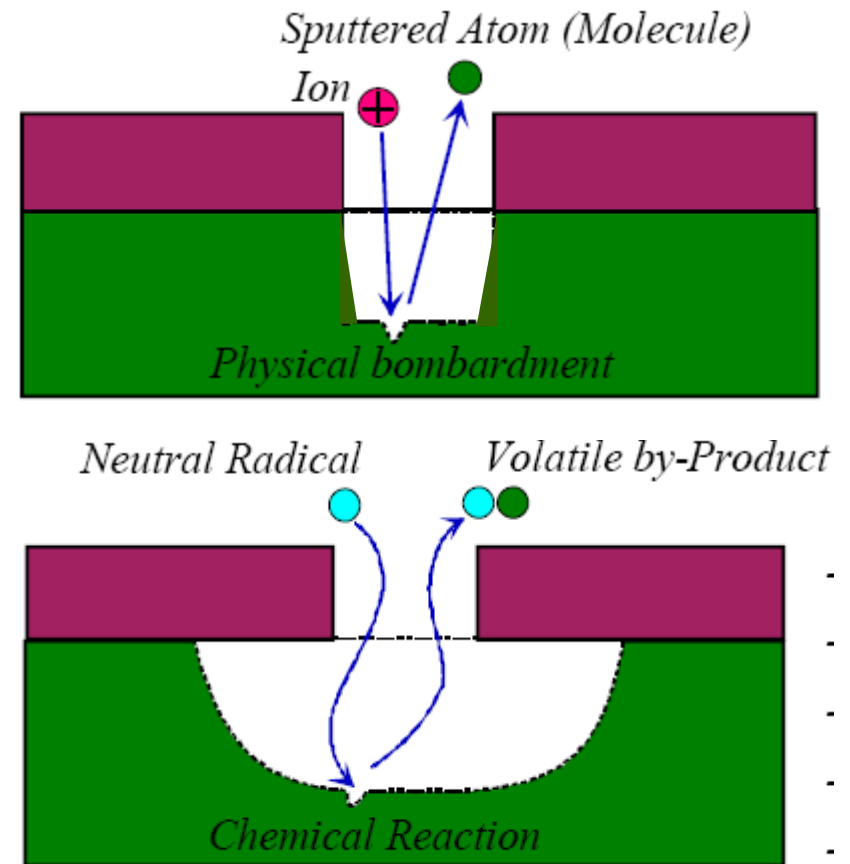


- **Physical Etching**

- The gaseous ion, accelerated to the substrate, mechanically ejects substrate material
- Highly Anisotropic
- Non-Selective

- **Chemical Etching**

- Thermalized neutral gaseous radicals chemically combine with substrate material forming volatile products
- Highly-Selective
- Isotropic



- **Physical Etching**

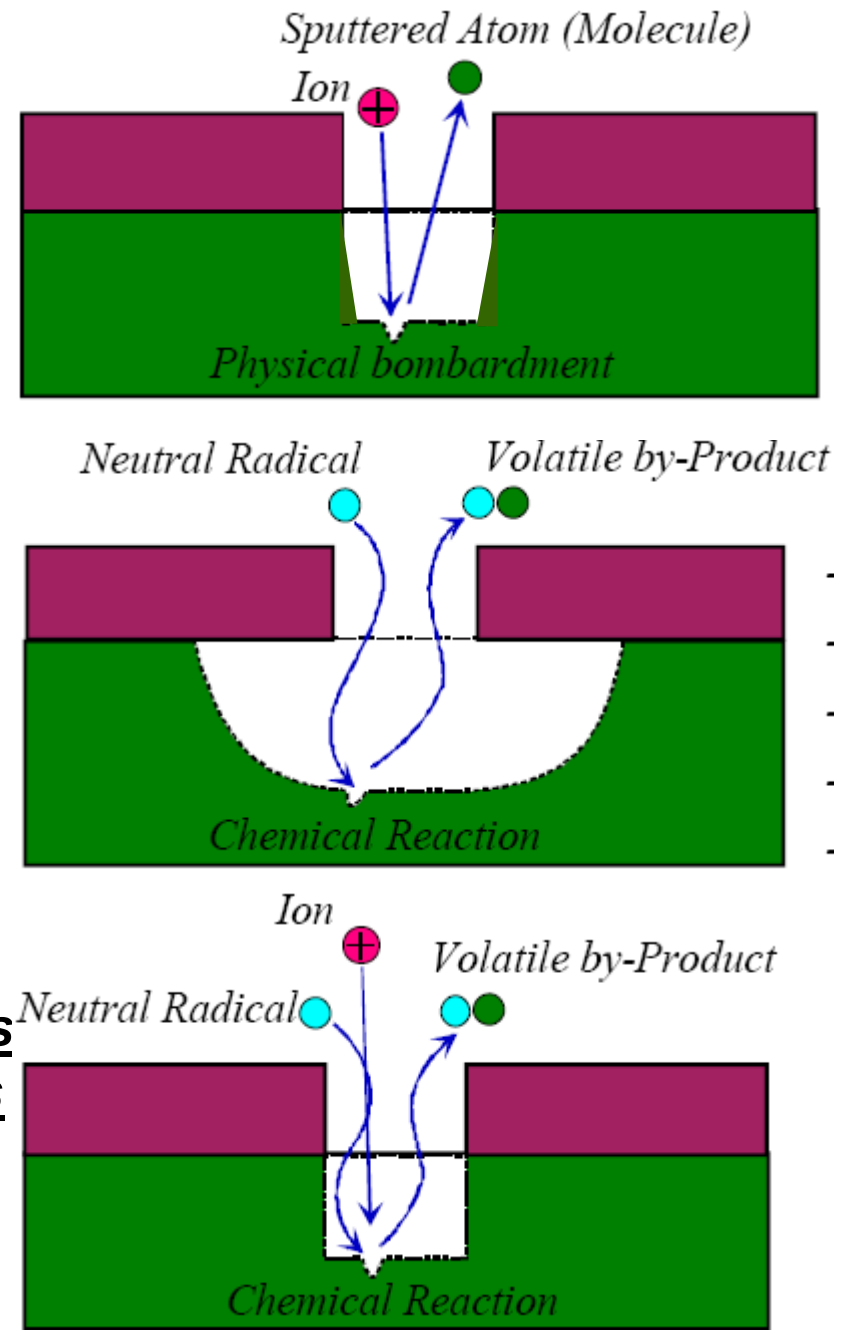
- The gaseous ion, accelerated to the substrate, mechanically ejects substrate material
- Highly Anisotropic
- Non-Selective

- **Chemical Etching**

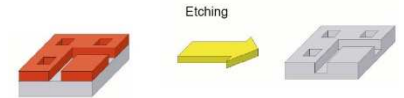
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- Isotropic

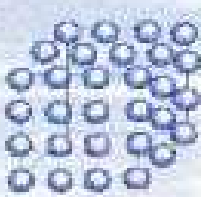
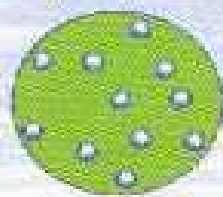
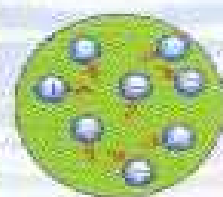
- **Combination of the two**

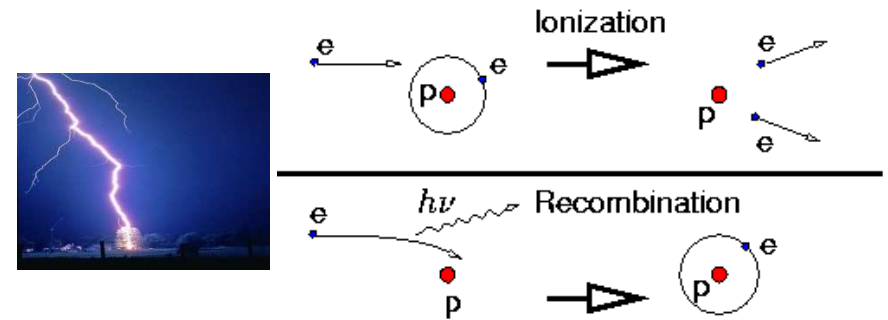
- Ion bombardment enhances or promotes the reaction between an active species and the substrate material
- Controlled Anisotropy
- Adequate Selectivity



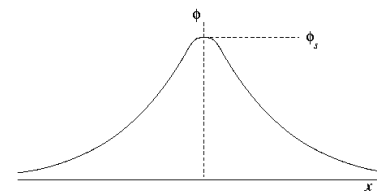
# Plasma: the 4<sup>th</sup> state of matter



| Solid                                                                              | Liquid                                                                             | Gas                                                                                | Plasma                                                                              |
|------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| Example<br><b>Ice</b><br>$H_2O$                                                    | Example<br><b>Water</b><br>$H_2O$                                                  | Example<br><b>Steam</b><br>$H_2O$                                                  | Example<br><b>Ionized Gas</b><br>$H_2 \rightarrow H^+ + H^+ + 2e^-$                 |
| <b>Cold</b><br>$T < 0^\circ C$                                                     | <b>Warm</b><br>$0 < T < 100^\circ C$                                               | <b>Hot</b><br>$T > 100^\circ C$                                                    | <b>Hotter</b><br>$T > 100,000^\circ C$<br>$  > 10 \text{ electron Volts}  $         |
|  |  |  |  |
| <b>Molecules Fixed in Lattice</b>                                                  | <b>Molecules Free to Move</b>                                                      | <b>Molecules Free to Move, Large Spacing</b>                                       | <b>Ions and Electrons Move Independently, Large Spacing</b>                         |

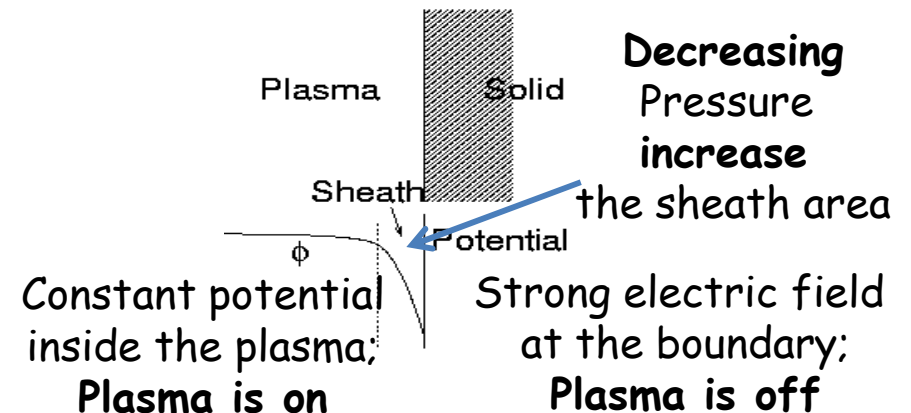


## Screening potential near a point charge

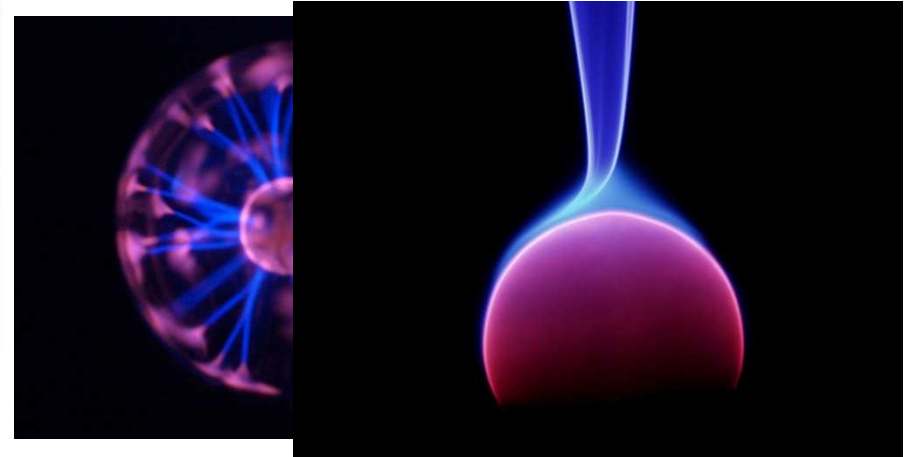
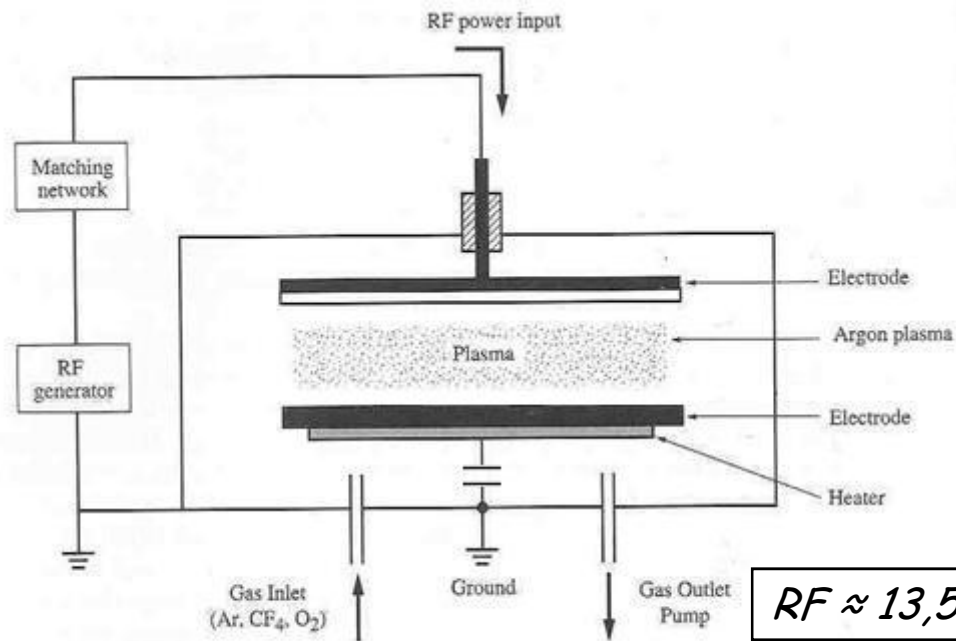
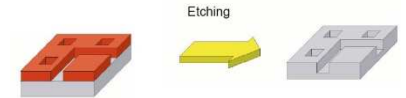


Plasma particles are free to move; don't feel each other

## Plasma-solid interface

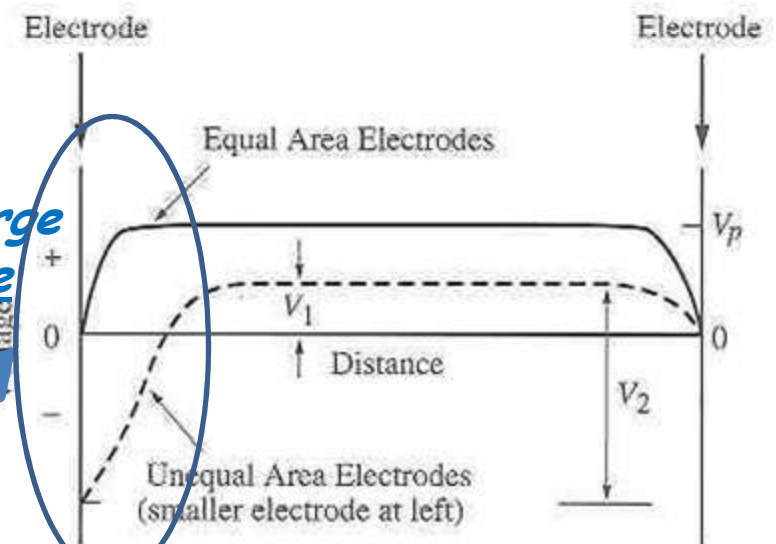


# The RF gas chamber



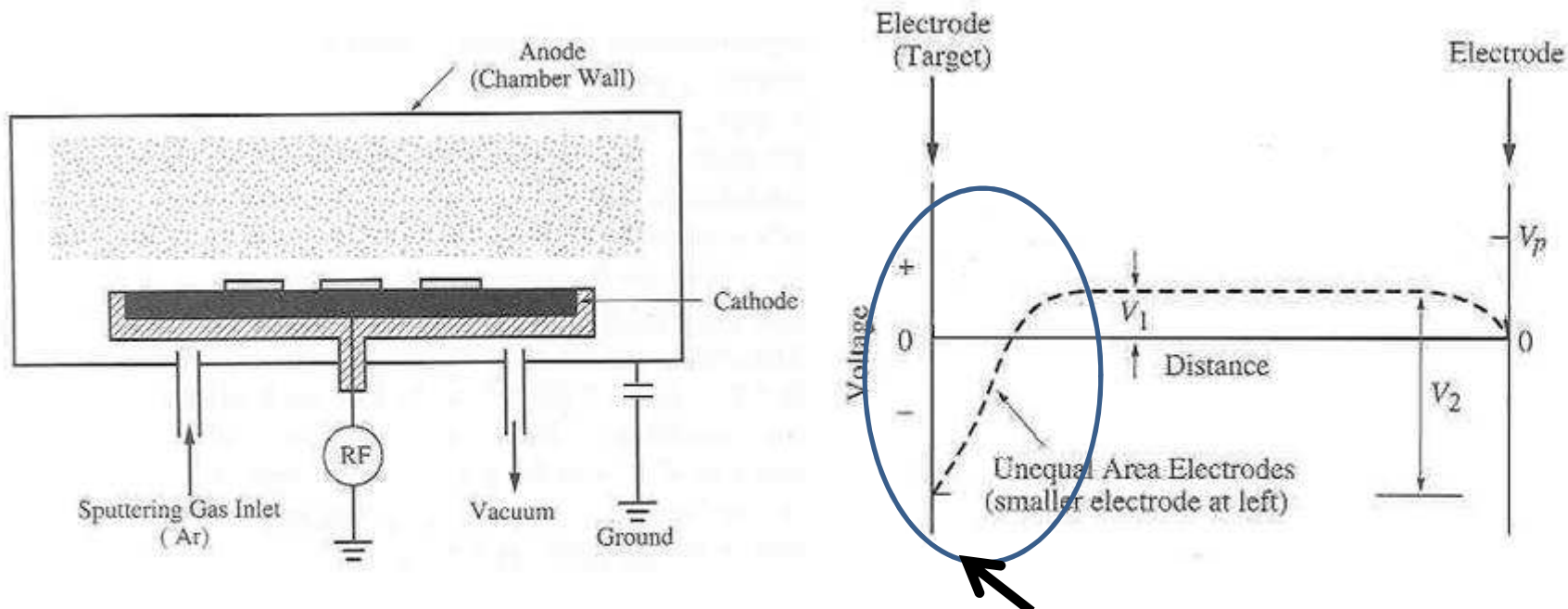
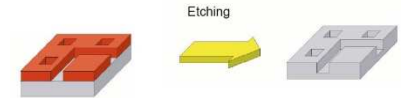
*RF Electric field produce plasma by glow discharge  
Plasma is used to crack molecules and/or drive  
chemical reactions useful for dry etching*

*Electric potential is constant inside  
the bulk of plasma. The voltage drop  
is mostly across the sheath area,  
where the plasma is off, and ions  
are accelerated towards electrodes.*



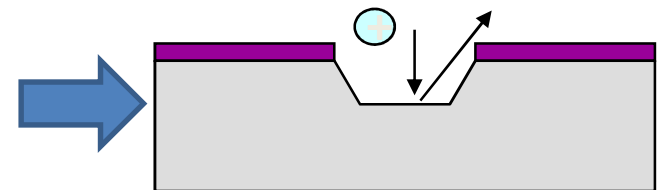
*Steady-state voltage distribution in  
RF-powered plasma chamber*

# Physical Etching: the Ion Sputtering system

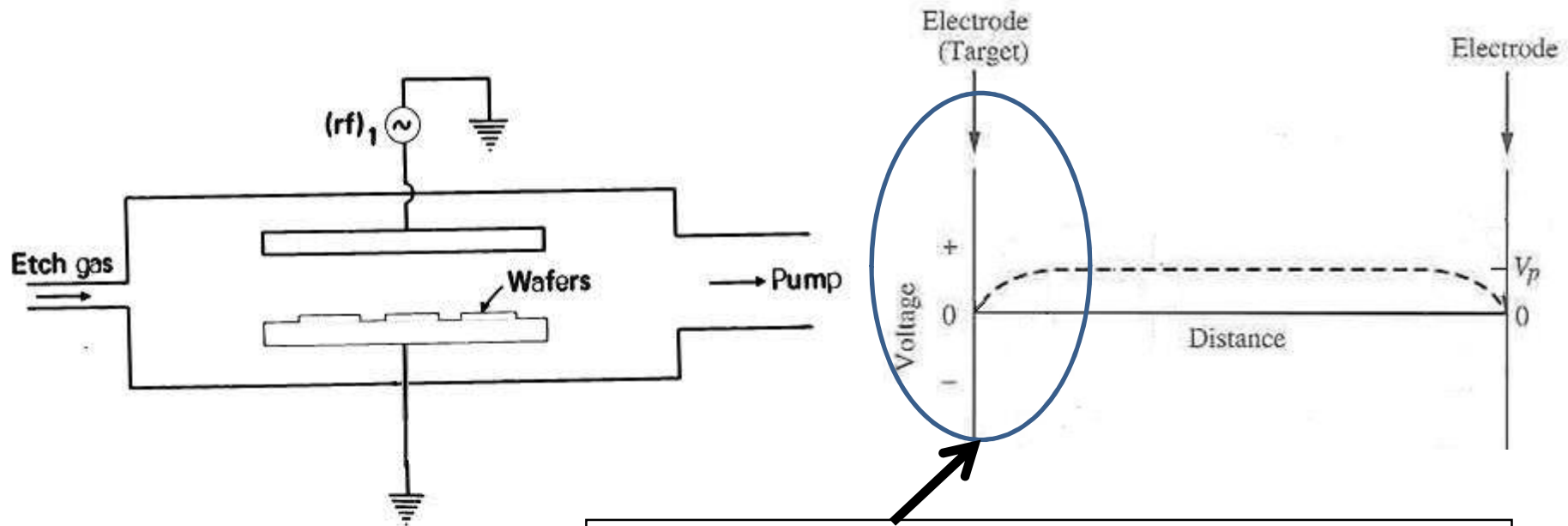
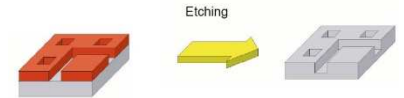


In this process all of the RF voltage is applied to a **smaller target electrode**. A neutral gas is injected in a low pressure environment.

**Glow discharge** is used to energize chemically inert ions or atoms (e.g., Ar). Atoms close to the sheath area are strongly **accelerated** bombarding the **wafer**.



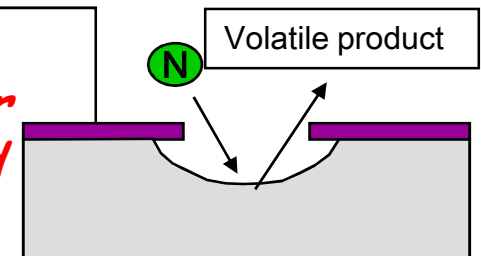
# Chemical Etching: the Plasma Etching system



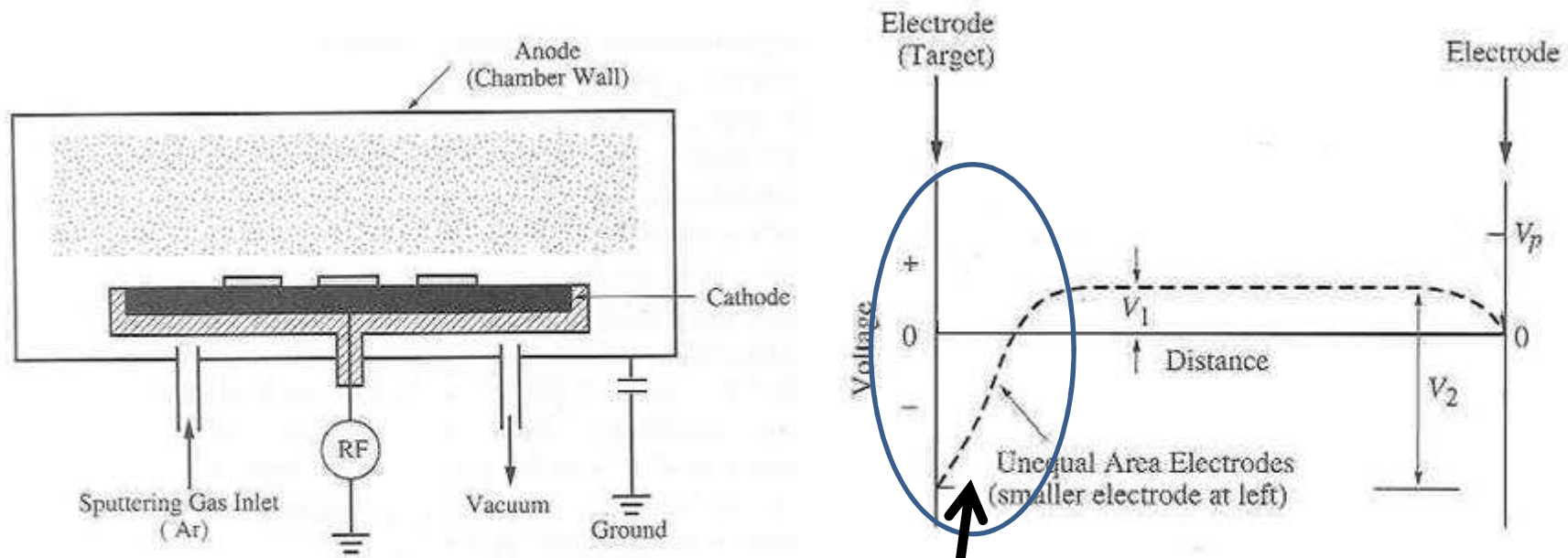
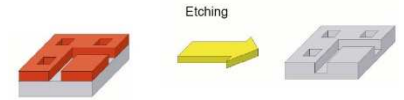
The opposite of Sputtering

In this process RF voltage is applied to a separate electrode while **the target electrode is grounded**. A gas is injected in a **high pressure** environment

**Glow discharge** is used to produce chemically reactive species; the sheath field tends to be negligible: **radicals or ions** diffuse towards the wafer with low acceleration and remove material from the substrate by chemical means

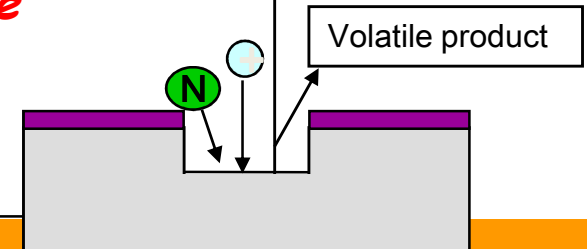


# Combination of Physical and Chemical: the Reactive Ion Etching system

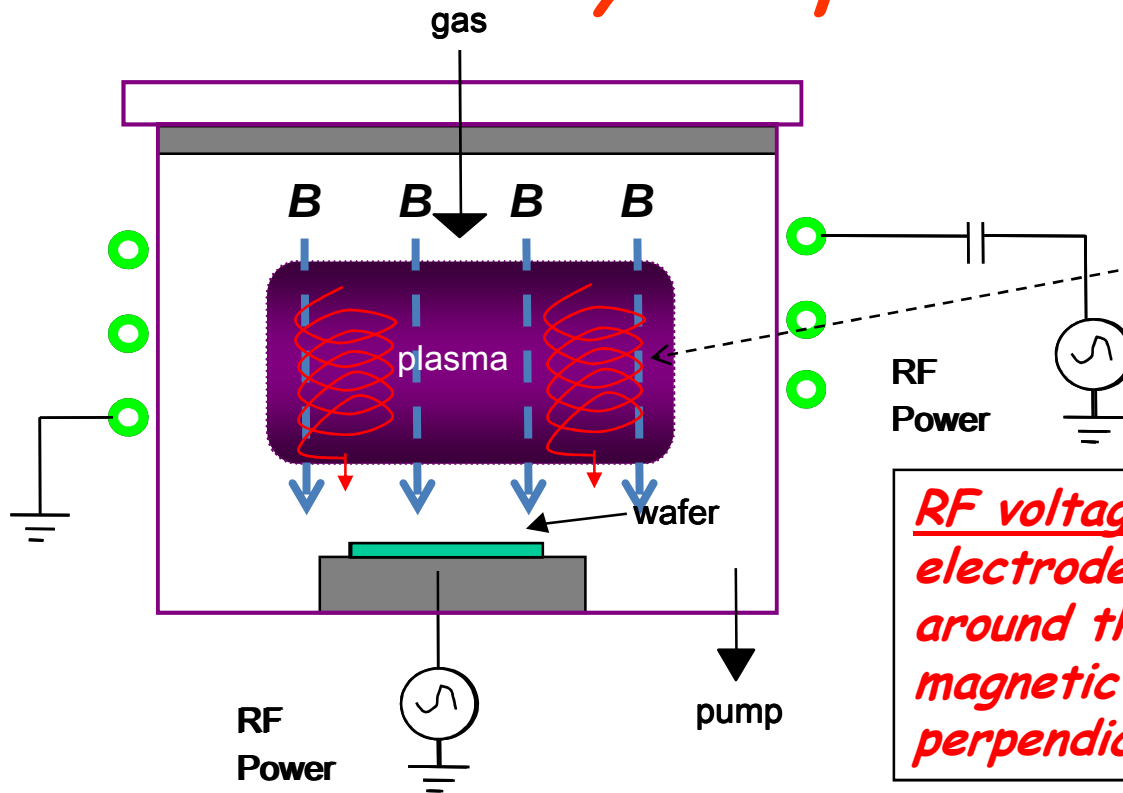
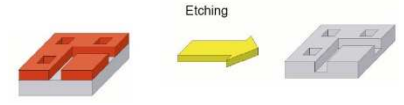


*In this process all of the RF voltage is applied to a **smaller target electrode**; neutral gas is replaced by one or more halogen-rich chemical species at low pressure environment.*

***Glow discharge** is used to produce chemically reactive species and chemically inert ions; **neutral ions** bombards the substrate surface while radicals diffuse towards the wafer with negligible acceleration and remove material from the substrate by chemical means.*



# High density plasma: Inductively Coupled Plasma Etching

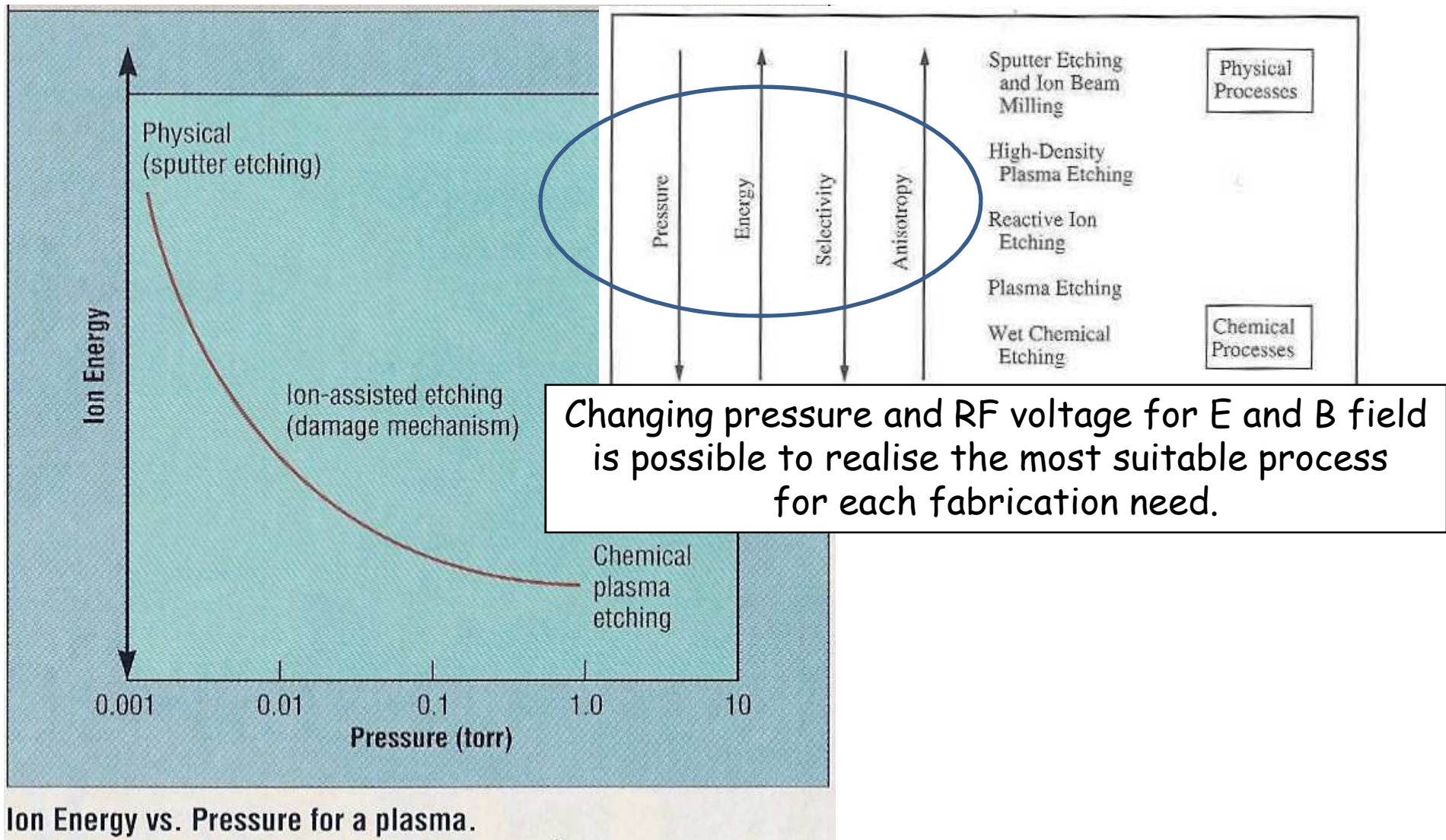
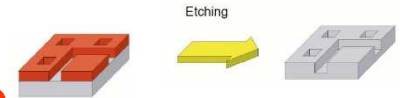


Ions and reactive species spiral around B field in the plasma going towards the wafer; this act on the plasma density, even at low pressure, changing the sheath area.

RF voltage applied on the target electrode; RF current in the coil around the chamber induces a magnetic field in the chamber perpendicular to the target electrode.

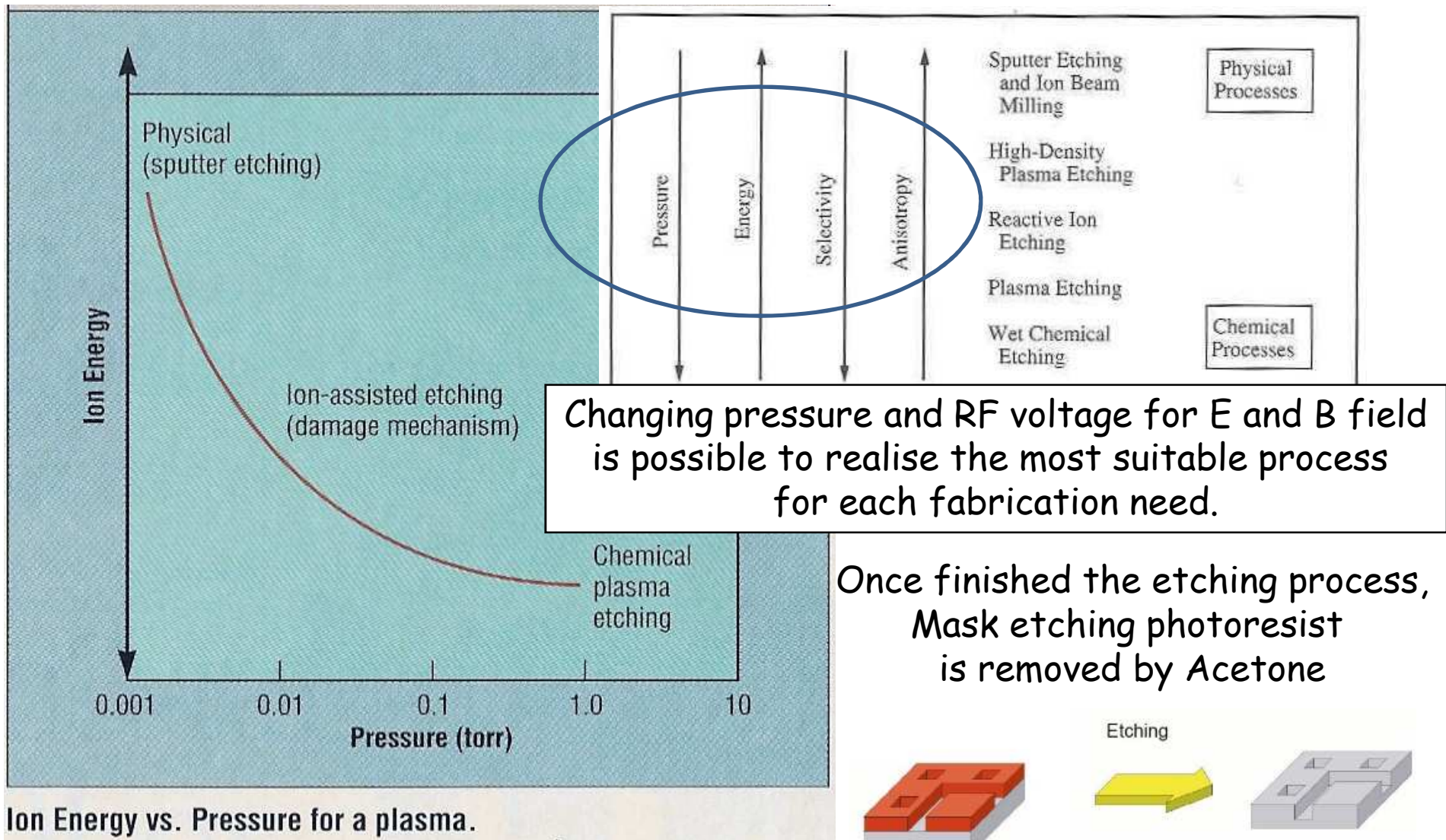
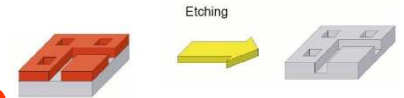
*Glow discharge is used to produce chemically reactive species and chemically inert ions; Magnetic field controls the plasma density in the chamber; Electric field controls the ion energy; Control of ion energy and ion density select and balance independently the physical and chemical mechanism for etching*

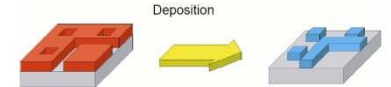
# *Ion Energy vs. Pressure for a Plasma*



Ion Energy vs. Pressure for a plasma.

# *Ion Energy vs. Pressure for a Plasma*





## *Thin Film Deposition techniques*

- Vacuum deposition Methods

Ultra High Vacuum ( $<10^{-8}$  mTorr)

- Physical Deposition:

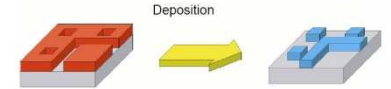
- Material to be deposited is ready in pellet or disk

1. Magnetron Sputtering deposition (metal, dielectrics);
2. Thermal deposition (metal, dielectrics);
3. Electronic beam deposition (metal);

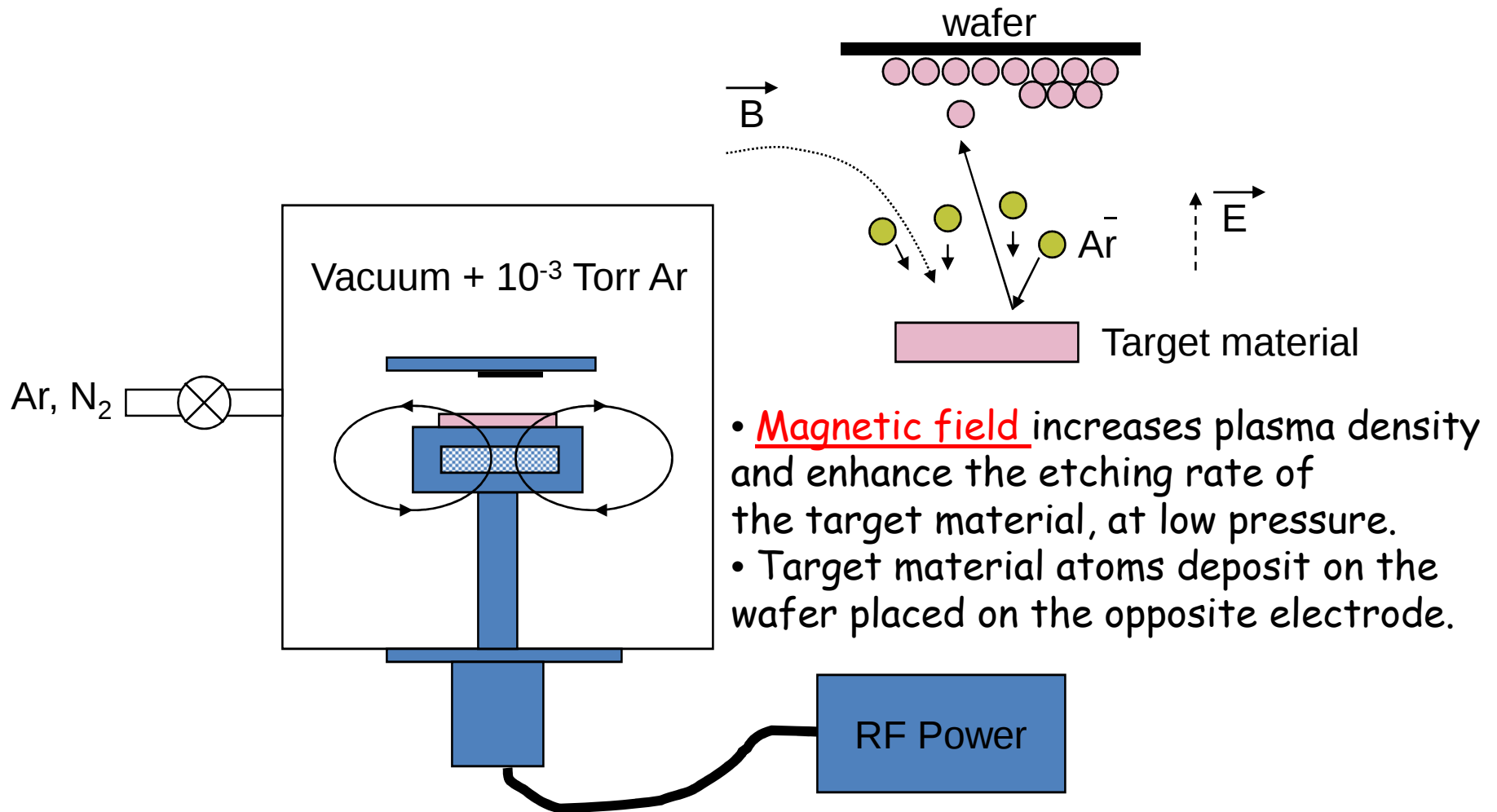
- Chemical Deposition:

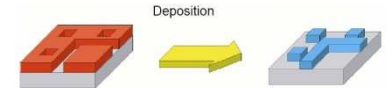
- Material to be deposited is product of a chemical reaction

1. Electrodeposition (metal);
2. Chemical Vapor Deposition (CVD) (dielectrics);

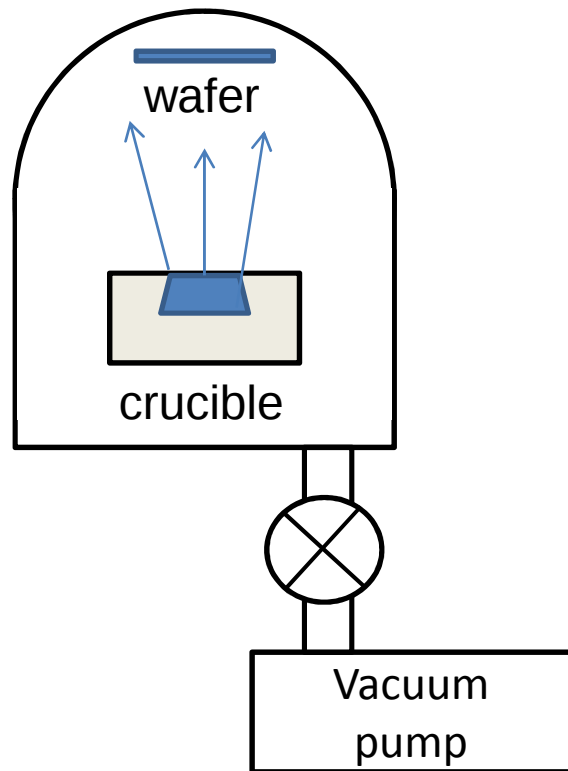


# Magnetron Sputtering

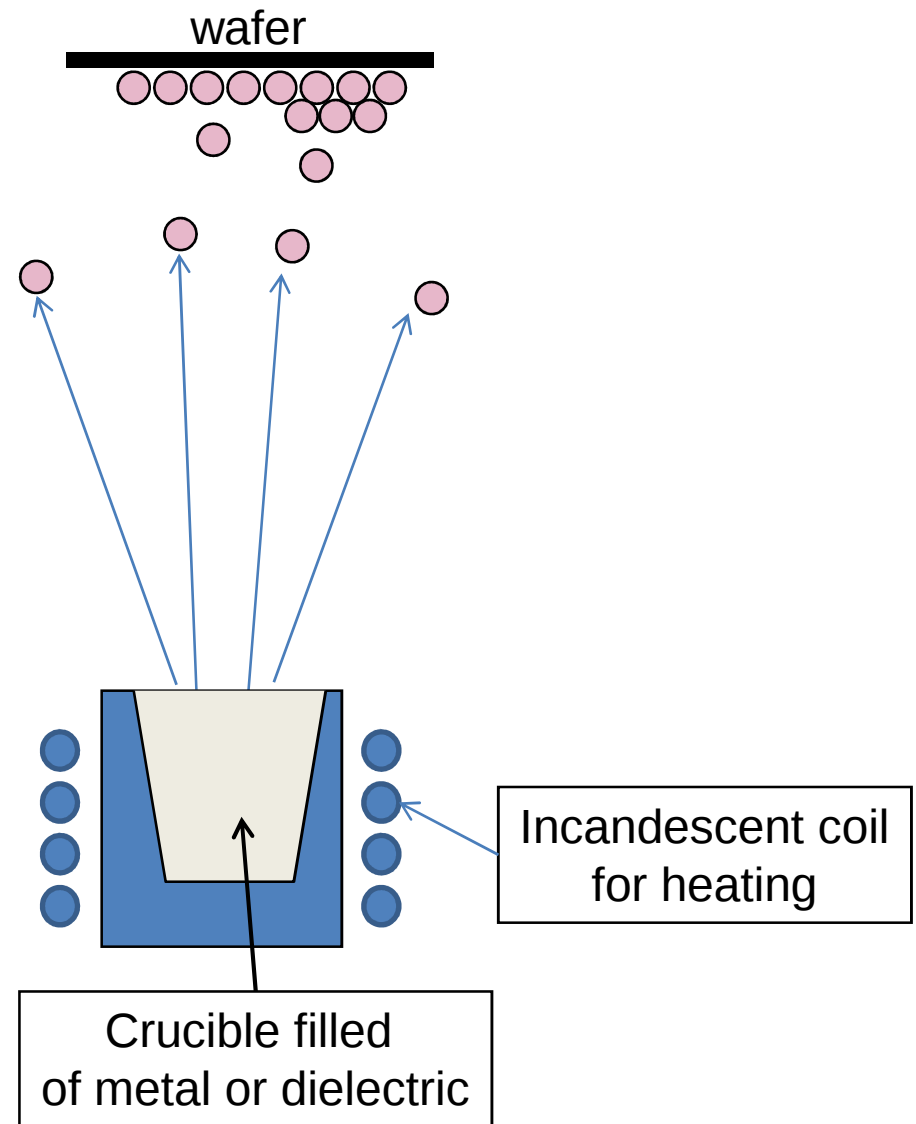


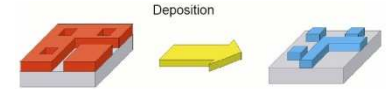


# *Thermal Evaporation*

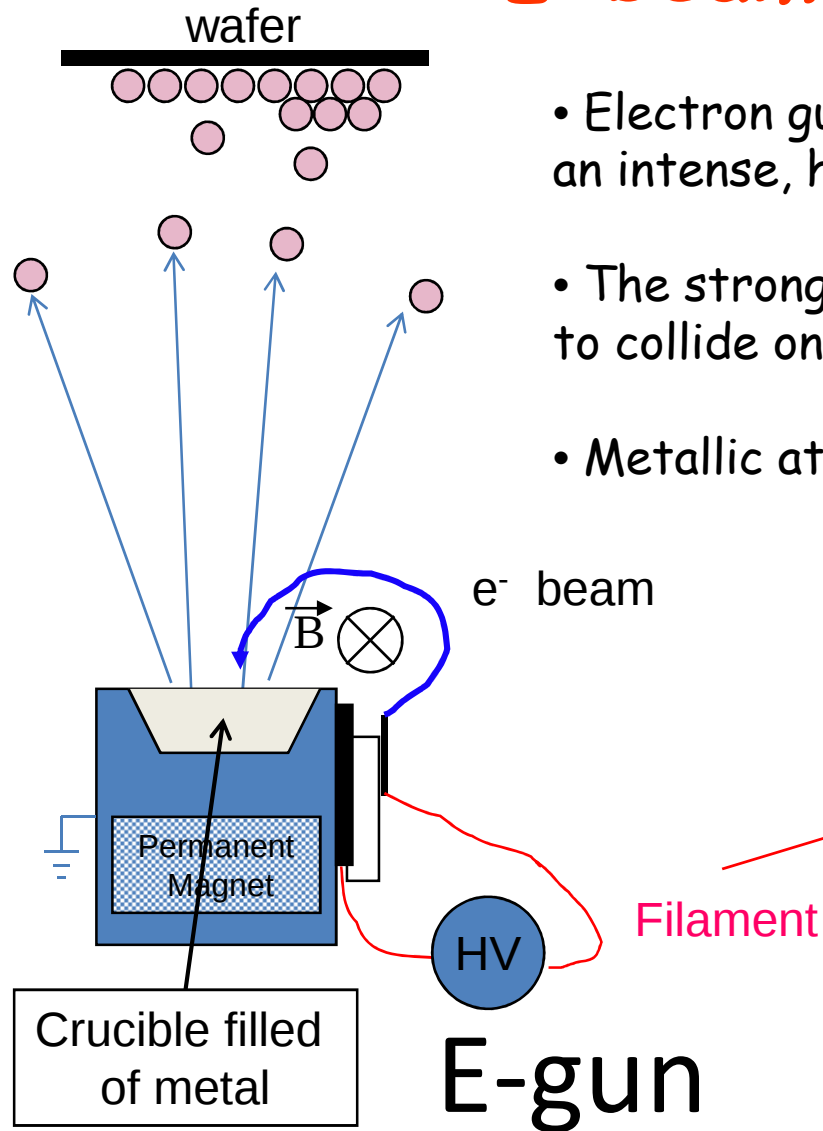


- A resistively heated coil increases the temperature of material inside the crucible up to the sublimation point.
- Metallic or dielectric atoms evaporate towards the wafer.

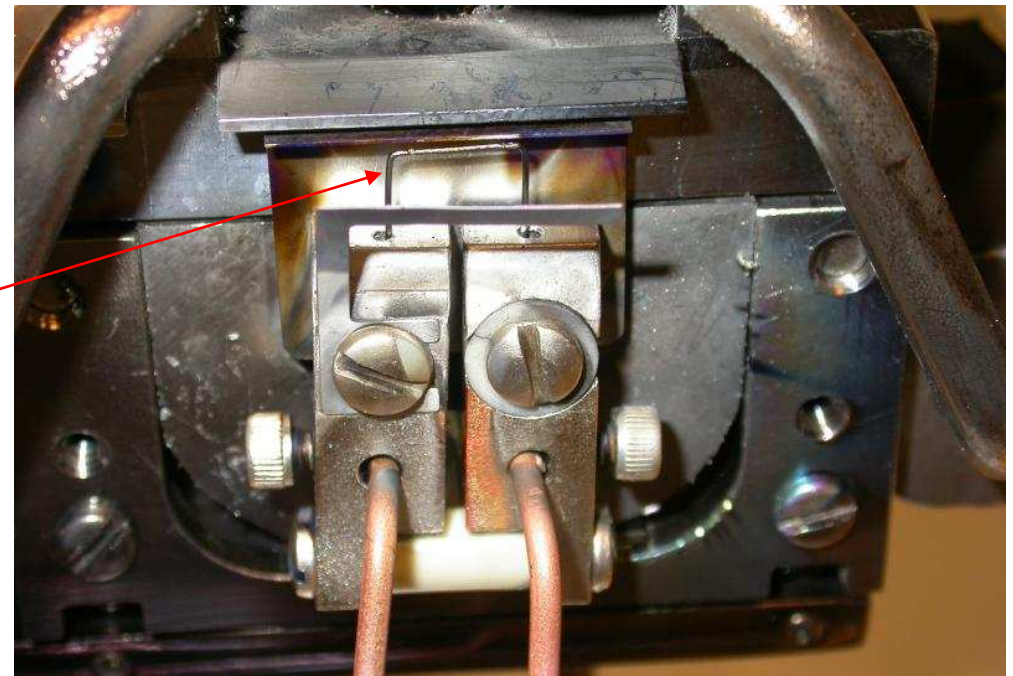


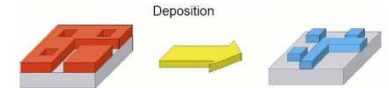


# *E-beam Evaporation*



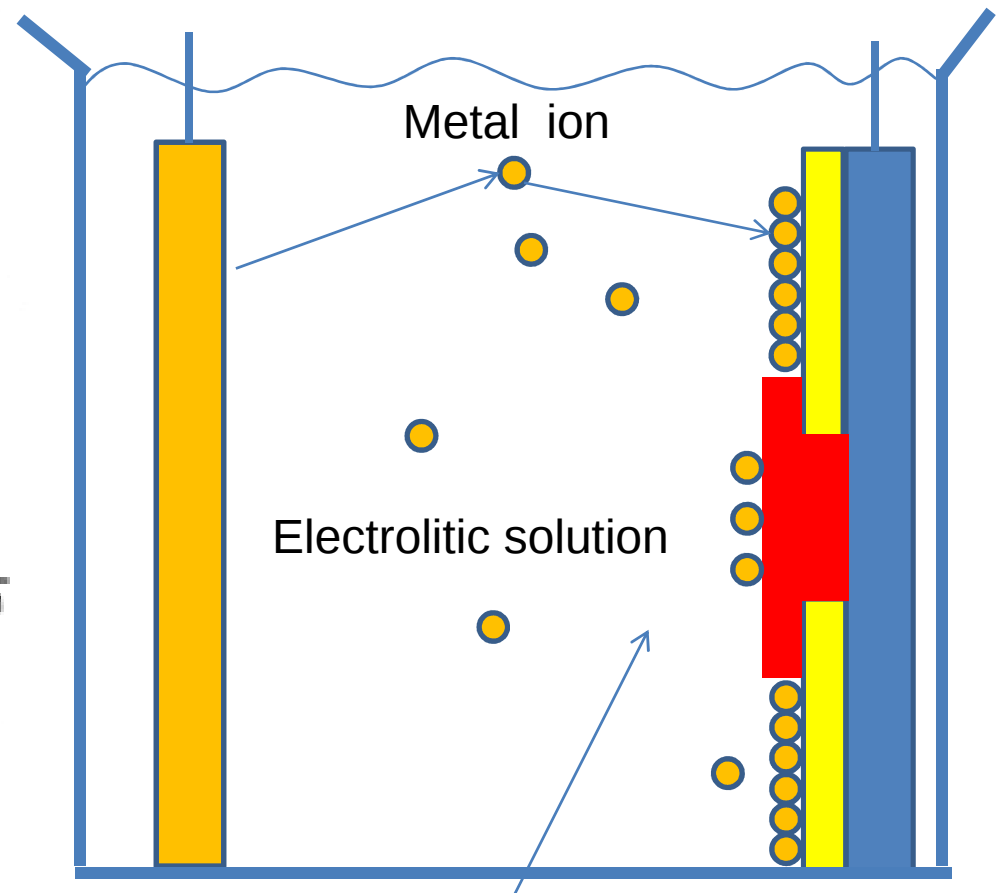
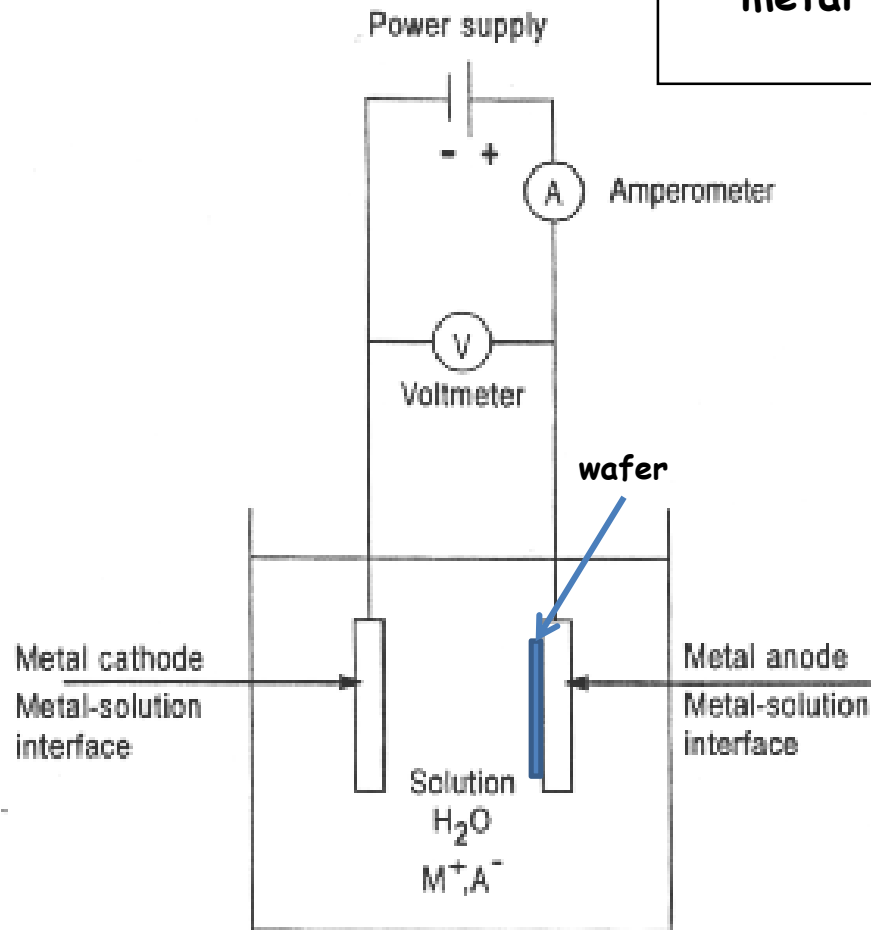
- Electron gun, under the crucible, ejects an intense, high energy beam, by thermionic effect.
- The strong magnetic field bends the beam causing it to collide on the surface of the crucible, filled by metal.
- Metallic atoms evaporate towards the wafer.





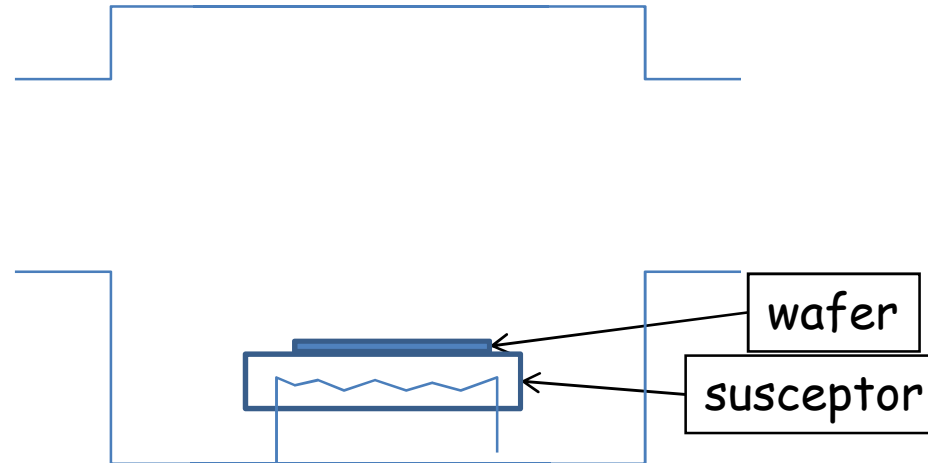
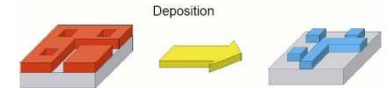
# Electrodeposition

Electrodeposition is the process of coating a thin layer of one metal on top of a different metal by deposition of metallic ions in acid solution from one electrode to the opposite.

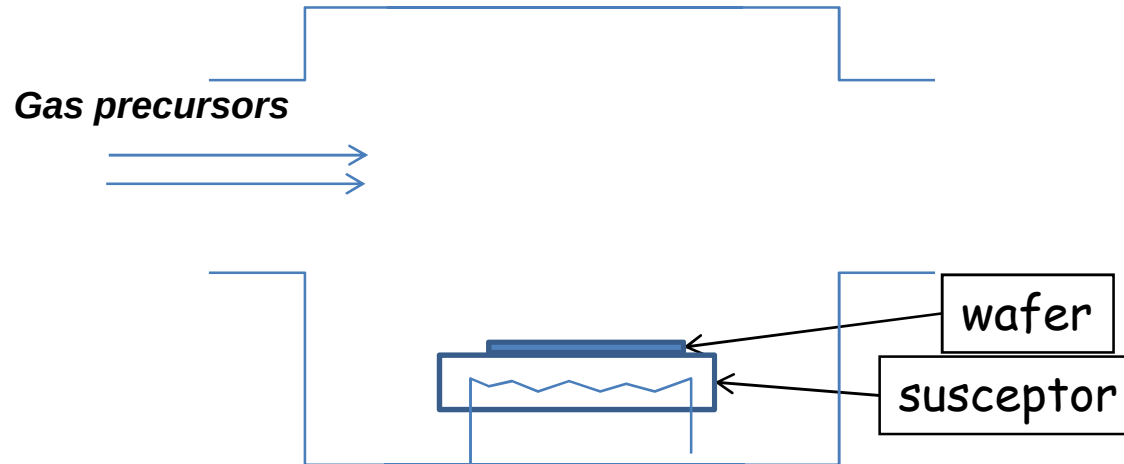
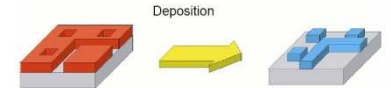


Photoresist (insulator) to be removed

# *Chemical Vapor Deposition*

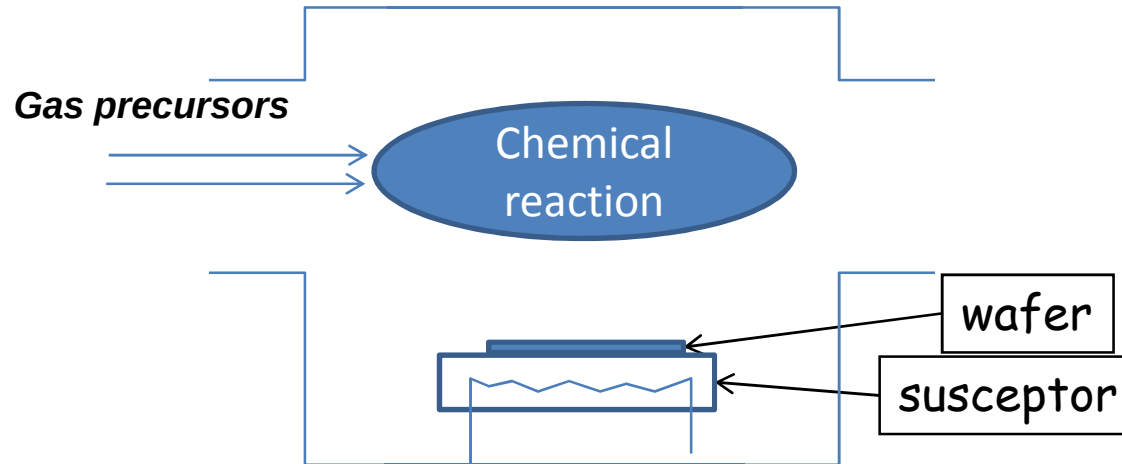
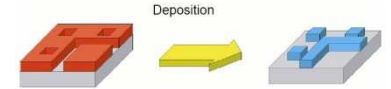


# Chemical Vapor Deposition



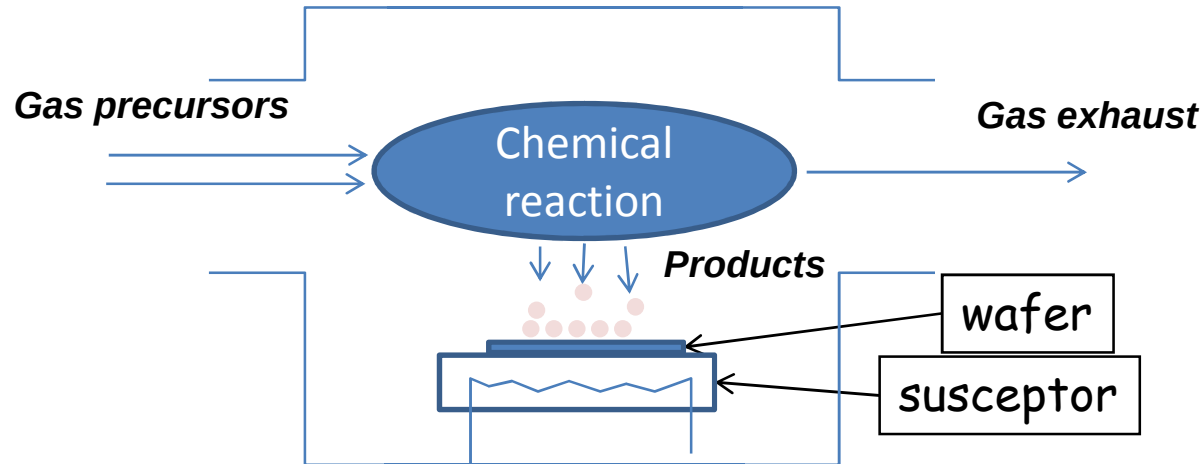
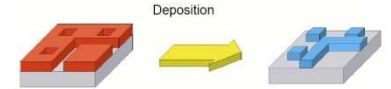
- In Chemical Vapor Deposition (CVD) the substances to be deposited (precursor) enters a chamber in a gaseous state.

# Chemical Vapor Deposition



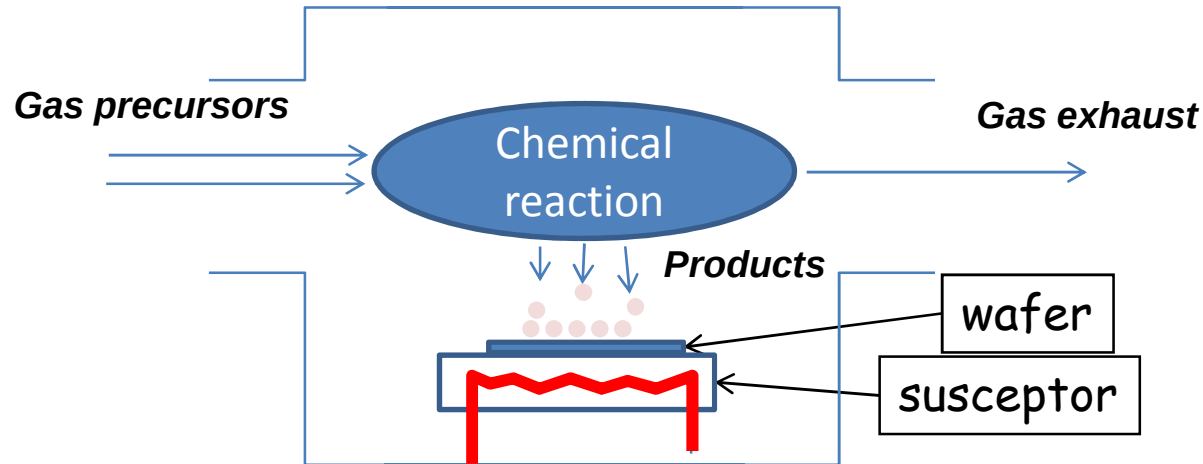
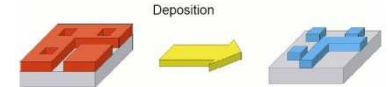
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# Chemical Vapor Deposition



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- These gases can undergo reactions in this vaporous state before reaching the substrate.
- As the gases approach the substrate, the molecules are adsorbed onto the surface; undergo a surface reaction with the substrate and products diffuse on the surface along the surface and form a stable thin film.

# Chemical Vapor Deposition

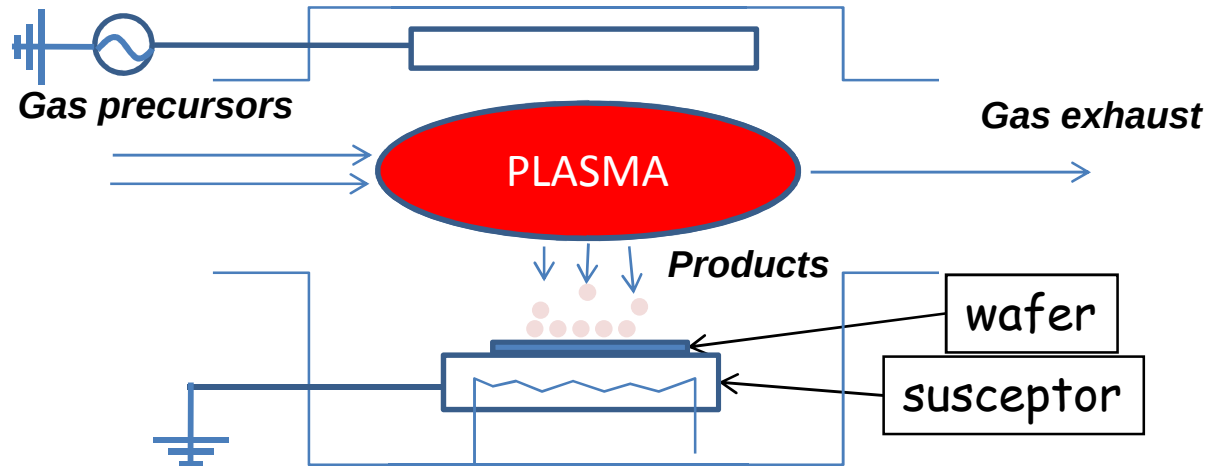
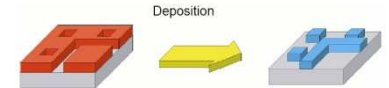


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***Parameters to favor reactions if more energy is needed:***

- Increase temperature of wafer by resistive heater inside the susceptor (LPCVD).

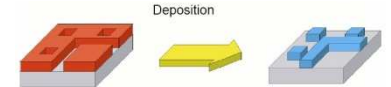
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***Parameters to favor reactions if more energy is needed:***

- Increase temperature of wafer by resistive heater inside the susceptor (LPCVD).
- Apply a RF voltage to create a plasma if low temperature is needed (PECVD)

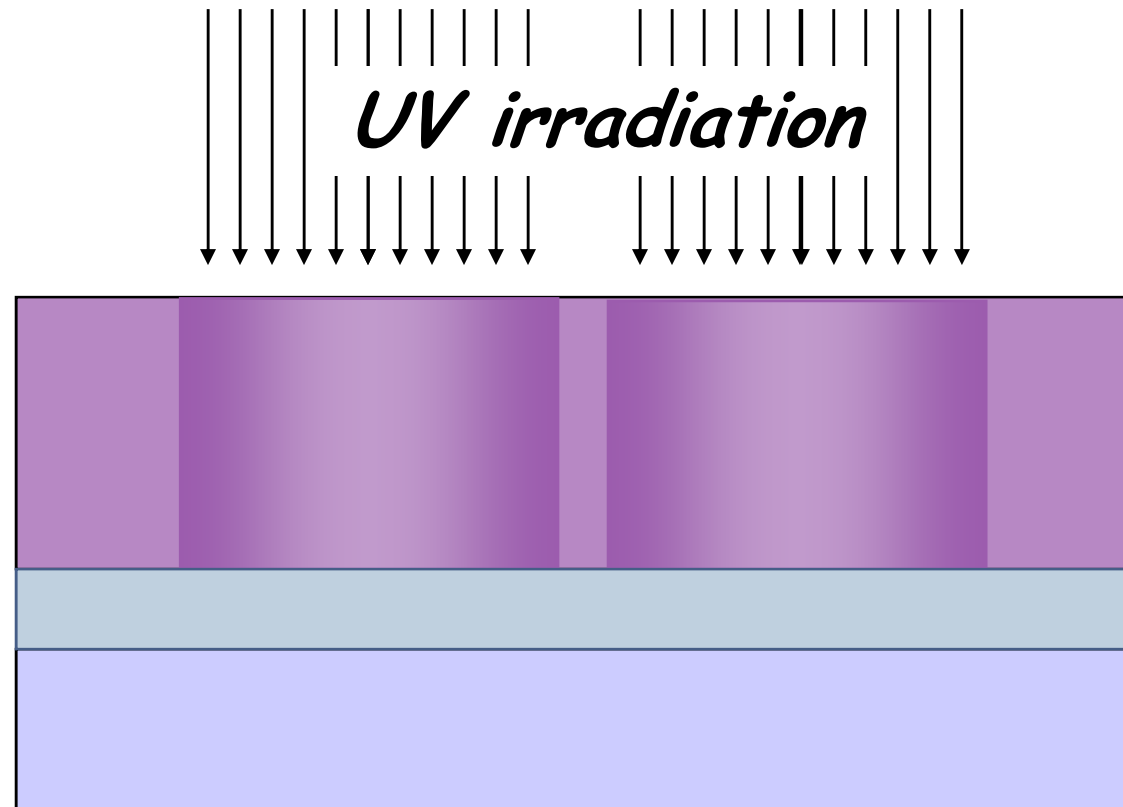


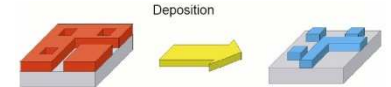
# *Shadow evaporation and Lift-off*

**Lift off**: the process to remove the mask deposition photoresist after deposition.

## **Problem**:

if there is a continuous deposition layer, it's difficult to remove the photoresist.  
It's completely covered by coating; difficult to desolve by acetone.





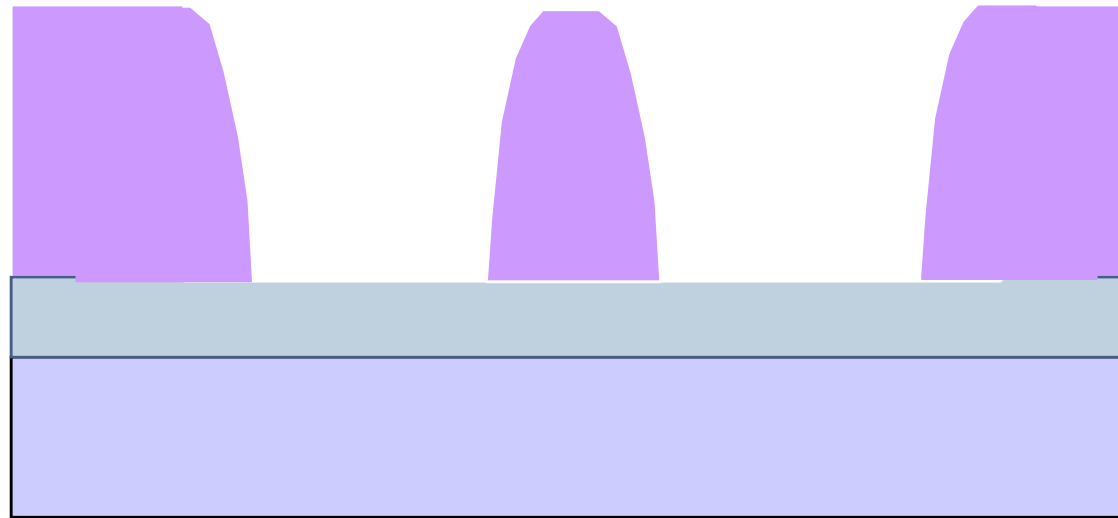
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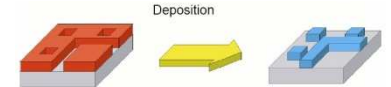
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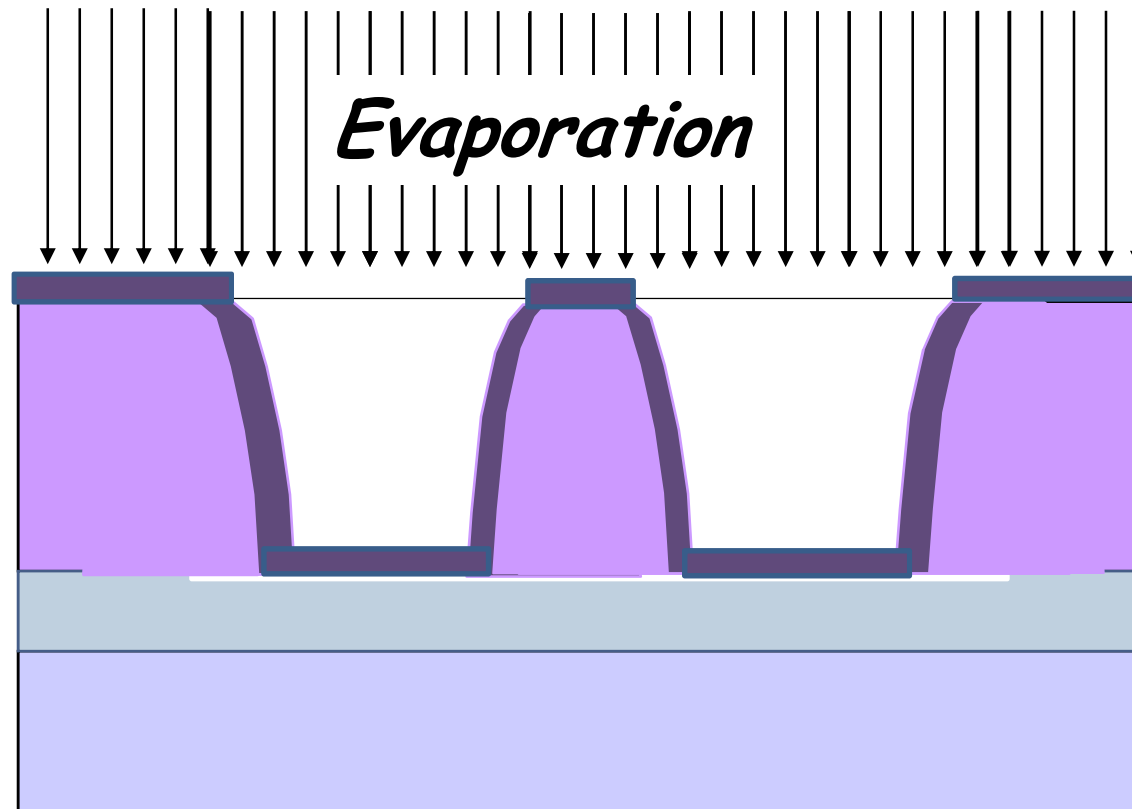


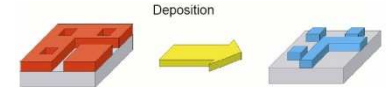
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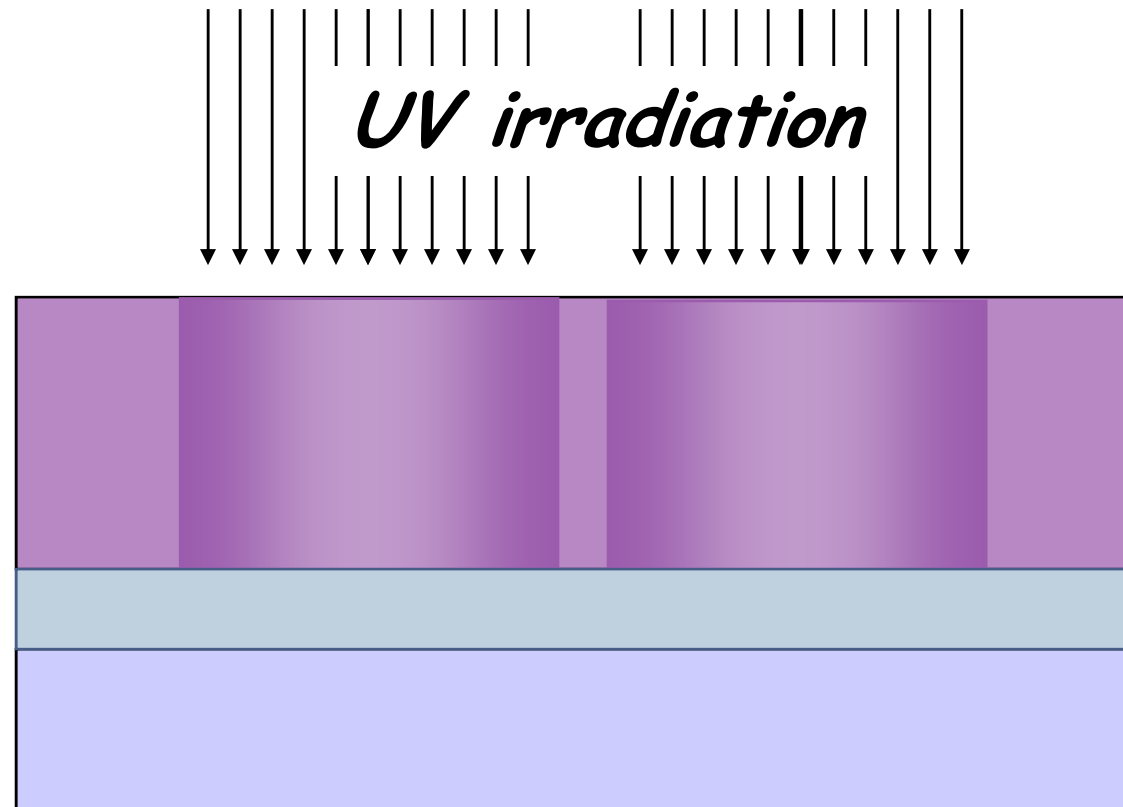


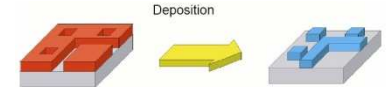


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As opposite of etching, we need the photoresist to give a shadow effect to ease remotion of deposited film on it. It is possible using some chemicals before devolopment to make the unexposed photoresist stronger to development.





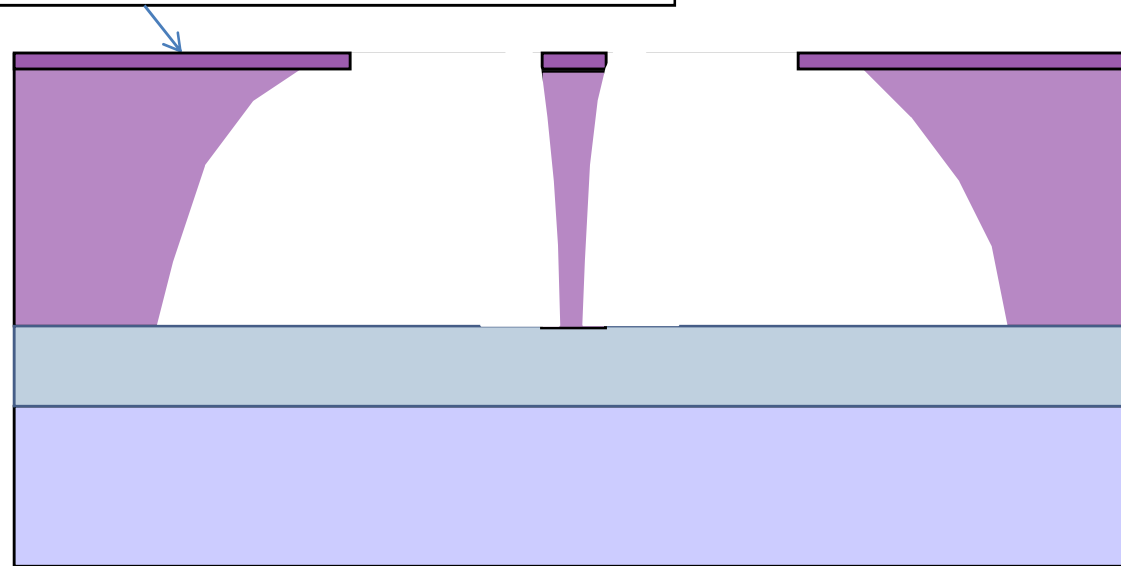
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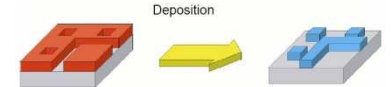
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## *Chlorobenzene and developer*

*Hardened photoresist layer by chlorobenzene: re-entrant profile.*

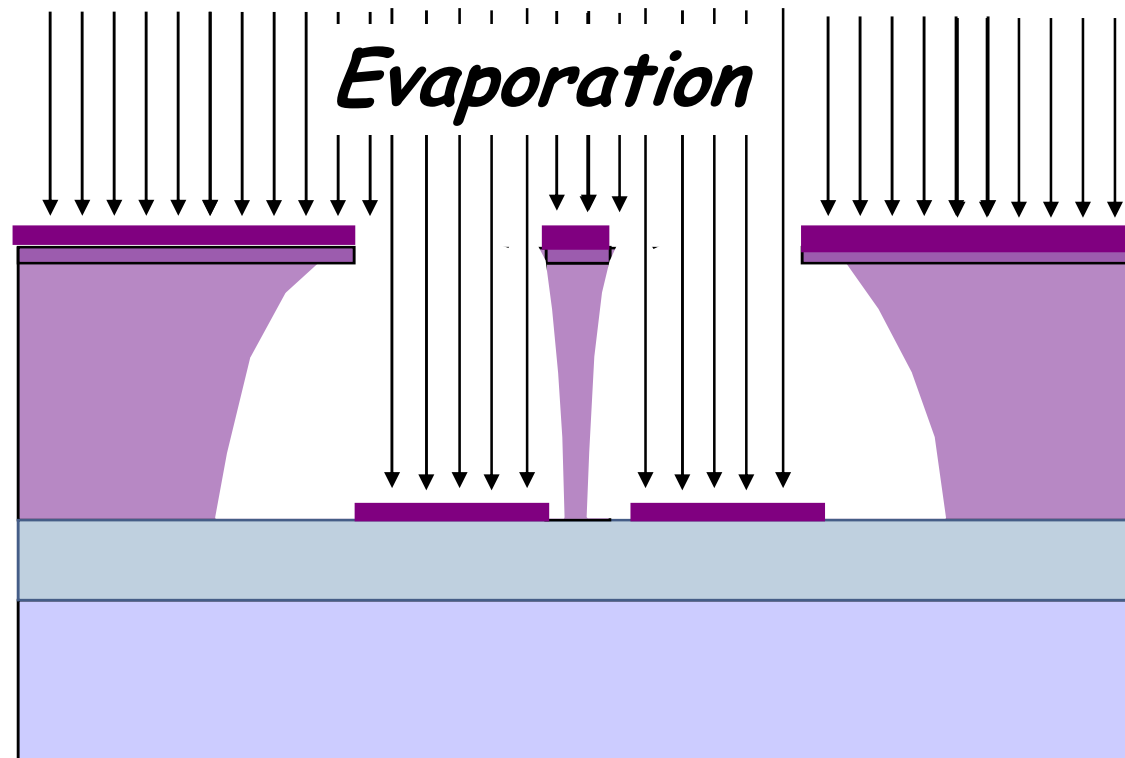


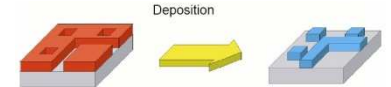


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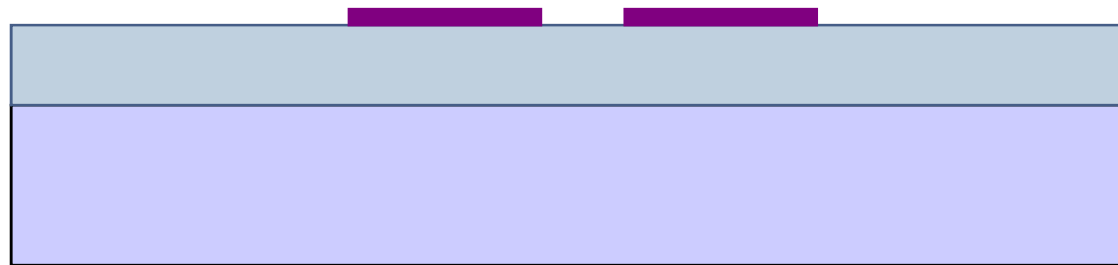


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As opposite of etching, we need the photoresist to give a shadow effect to ease remotion of deposited film on it. It is possible using some chemicals before devolopment to make the unexposed photoresist stronger to development.

*Lift off the resist and excess metal*



# 1959 Feynmann's talk

annual meeting of the American Physical Society

<http://www.zyvex.com/nanotech/feynman.html>

## There's plenty of room at the bottom

[...] I would like to describe a field, in which little has been done, but in which an enormous amount can be done in principle. [...]

**What I want to talk about is the problem of manipulating and controlling things on a small scale.[...]**

*Why cannot we write the entire 24 volumes of the Encyclopedia Britannica on the head of a pin? [...]*

- ***Information on a small scale***
- ***Miniaturizing the computer***
- ***The marvelous biological system***
- ***Rearranging the atoms***
- ***Atoms in a small world***



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[...] I would like to describe a field, in which little has been done, but in which an enormous amount can be done in principle. [...]

So, Guys, there is a plenty of job in your doctorate.

Have fun and Good luck!!!!

*the head of a pin? [...]*

- *Information on a small scale*
- *Miniaturizing the computer*
- *The marvelous biological system*
- *Rearranging the atoms*
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